جامعة الملك عبدالله للعلوم والتقنية
King Abdullah University of Science and Technology

Training Standards & Procedures for Scientific Diving at the King Abdullah University of Science & Technology in the Kingdom of Saudi Arabia.

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FOREWORD

Since 1951 the scientific diving community has endeavored to promote safe, effective diving through self-imposed diver training and education programs. Over the years, manuals for diving safety have been circulated between organizations, revised and modified for local implementation, and have resulted in an enviable safety record.

This document represents the minimal safety standards for scientific diving at the present day. As diving science progresses so must this standard, and it is the responsibility of every member of the Academy to see that it always reflects state of the art, safe diving practice.

American Academy of Underwater Sciences

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The Academy thanks the numerous dedicated individual and organizational members for their contributions and editorial comments in the production of these standards.

Revision History
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Volume 1

Sections 1.00 through 5.00
Required For All Organizational Members

Section 1.00 GENERAL POLICY

1.10 Scientific Diving Standards

Purpose

The purpose of these Scientific Diving Standards is to ensure scientific diving is conducted in a manner that will maximize the protection of scientific divers from accidental injury and/or illness, and to set forth standards for training and certification that will allow a working reciprocity between Organizational Members (OMs or OM). Fulfillment of these purposes shall be consistent with the furtherance of research and safety, and facilitation of collaborative opportunities between AAUS OMs.

This *Manual* sets minimum standards for the establishment of American Academy of Underwater Sciences (AAUS) recognized scientific diving programs, the organization for the conduct of these programs, and the basic regulations and procedures for safety in scientific diving operations. It also establishes a framework for reciprocity between AAUS OMs that adhere to these minimum standards.

Historical Perspective

This *Manual* was developed and written by AAUS by compiling the policies set forth in the diving manuals of several university, private, and governmental scientific diving programs. These programs share a common heritage with the scientific diving program at the Scripps Institution of Oceanography (SIO). Adherence to the SIO standards has proven both feasible and effective in protecting the health and safety of scientific divers since 1954.

In 1982, OSHA exempted scientific diving from commercial diving regulations (29CFR1910, Subpart T) under certain conditions that are outlined below. The final guidelines for the exemption became effective in 1985 (Federal Register, Vol. 50, No.6, p.1046). AAUS is recognized by OSHA as the scientific diving standard setting organization.

Scientific Diving Definition

Scientific diving is defined (29CFR1910.402) as:

"Diving performed solely as a necessary part of a scientific, research, or educational activity by employees whose sole purpose for diving is to perform scientific research tasks. Scientific diving does not include performing any tasks usually associated with commercial diving such as: Placing or removing heavy objects underwater; inspection of pipelines and similar objects; construction; demolition; cutting or welding; or the use of explosives."

Scientific Diving Exemption

The two elements that a diving program must contain as defined by OSHA in 29 CFR 1910 Subpart T 1910.401(a)(2)(iv) are:

a) Diving safety manual which includes at a minimum: Procedures covering all diving operations specific to the program; procedures for emergency care, including recompression and evacuation; and criteria for diver training and certification.
b) Diving control (safety) board, with the majority of its members being active divers, which must at a minimum have the authority to: Approve and monitor diving projects; review and revise the diving safety manual; assure compliance with the manual; certify the depths to which a diver has been trained; take disciplinary action for unsafe practices; and, assure adherence to the buddy system (a diver is accompanied by and is in continuous contact with another diver in the water) for SCUBA diving.

OSHA has granted an exemption for scientific diving from commercial diving regulations under the following guidelines (Appendix B to 29 CFR 1910 Subpart T):

- The Diving Control Board consists of a majority of active scientific divers and has autonomous and absolute authority over the scientific diving program's operation.
- The purpose of the project using scientific diving is the advancement of science; therefore, information and data resulting from the project are non-proprietary.
- The tasks of a scientific diver are those of an observer and data gatherer. Construction and trouble-shooting tasks traditionally associated with commercial diving are not included within scientific diving.
- Scientific divers, based on the nature of their activities, must use scientific expertise in studying the underwater environment and therefore, are scientists or scientists-in-training.

Recommendations for Changes to AAUS Manual

As part of each OMs annual report, recommendations for modifications of this *Manual* must be submitted to AAUS for consideration.

1.20 Operational Control

King Abdullah University of Science and Technology Auspices and Responsibilities

Auspices of KAUST include any scientific diving operation in which KAUST is connected because of ownership of life support equipment used, locations selected, or relationship with the individual(s) concerned. This includes all cases involving the operations of authorized individuals of KAUST or auxiliary organizations, where such individuals are acting within the scope of their authorization.

It is KAUST's responsibility to adhere to the AAUS Standards for Scientific Diving Certification and Operation of Scientific Diving Programs. The administration of the local diving program will reside with the OM's Diving Control Board (DCB).

The regulations herein must be observed at all locations where scientific diving is conducted.

Diving Control Board

- The Diving Control Board (DCB) must consist of a majority of active scientific divers. Voting members include the Diving Safety Officer (DSO), and other representatives of the diving program such as qualified divers and members selected by procedures established by each OM. A chairperson and a secretary may be chosen from the membership of the board according to local procedure.
- Has autonomous and absolute authority over the scientific diving program's operation.
- The DCB must:
    - Establish additional standards, protocols, and operational procedures beyond the AAUS minimums to address KAUST specific needs and concerns.
    - Approve and monitor diving projects.
    - Review and revise the diving safety manual.
    - Ensure compliance with the diving safety manual.
    - Approve the depth to which a diver has been authorized to dive.
    - Take disciplinary action for unsafe practices.
    - Ensure adherence to the buddy system for scientific diving.
    - Act as the official representative of KAUST in matters concerning the scientific diving program.
    - Act as a board of appeal to consider diver-related problems.
    - Recommend the issue, reissue, or the revocation of diving authorizations.

- Recommend changes in policy and amendments to AAUS and KAUST's diving safety manual as the need arises.
- Establish and/or approve training protocols or standards through which the applicants for authorization can satisfy the requirements of KAUST's diving safety manual.
- Suspend diving operations considered to be unsafe or unwise.
- Establish criteria for equipment selection and use.
- Recommend new equipment or techniques.
- Establish and/or approve facilities for the inspection and maintenance of diving and associated equipment.
- Ensure that the KAUST's air station(s) meet air quality standards as described in Section 3.60.
- Periodically review the DSO's performance and program.
- Investigate diving incidents within KAUST's diving program or violations of the KAUST's diving safety manual.

- The DCB may delegate operational oversight for portions of the program to the DSO; however, the DCB may not abdicate responsibility for the safe conduct of the diving program.

Diving Safety Officer

The Diving Safety Officer (DSO) serves as a voting member of the DCB, and should be designated one of the OM Representatives to AAUS. This person should have broad technical expertise and experience in research related diving.

Qualifications:

1. Must be an active scuba instructor from an internationally recognized certifying agency.

2. Must be appointed by the responsible administrative officer or designee, with the advice and counsel of the DCB.

3. Must qualify as a Full Voting Member of AAUS as defined by AAUS Bylaws:

> "(a) Holds a diving certification from a recognized national certifying agency or equivalent, and
> (b) Has engaged in sustained or successive scientific diving activities during the past two years, or
> (c) Has completed a course in scientific diving that meets the requirements as specified by the most current edition of the AAUS Standards for Scientific Diving."

4. Must attend an AAUS DSO Orientation within one year of accepting a position at an AAUS approved OM, unless he/she has served as a DSO for another current AAUS OM within the last year.

Duties and Responsibilities

1. Answers, through the DCB, to the appropriate administrative officer or designee, for the conduct of the scientific diving program of the OM.
2. If delegated by the DCB, the routine operational authority for this program rests with the DSO. This oversight includes, but is not limited to: training, diver authorizations, approval of dive plans, maintenance of diving records, and ensuring compliance with this Manual.
3. May permit some duties and responsibilities to be carried out by a qualified delegate,

with the approval of the DCB.

4. Must be guided in the performance of the required duties by the advice of the DCB, but operational responsibility for the conduct of the scientific diving program will be retained by the DSO.
5. Must suspend diving operations determined to be unsafe or unwise.

Instructional Personnel Qualifications

All personnel involved in diving instruction under the auspices of KAUST must be reviewed and authorized by the DCB.

Lead Diver

For each dive, one individual shall be designated as the Lead Diver who shall be at the dive location during the diving operation. The Lead Diver shall be responsible for:

- Ensuring dives are conducted in accordance with Section 2.0.
- Ensuring all dive team members possess current authorization and are qualified for the type of diving operation.
- Coordination with other known activities in the vicinity that are likely to interfere with diving operations.
- Ensuring safety and emergency equipment is in working order and at the dive site.
- Suspending diving operations if in their opinion conditions are not safe.
- Reporting to the DCB, through the DSO, any physical problems or adverse physiological effects including symptoms of pressure-related injuries.
- For Lead Diver clearance procedure refer to Appendix 2A.

Reciprocity and Visiting Scientific Diver

- Two or more AAUS OMs engaged jointly in diving activities, or engaged jointly in the use of diving resources, must designate one of the participating DCBs to govern the joint dive project. However, responsibility for individual divers ultimately resides with their respective home OM.
- An AAUS Scientific Diver from another OM must apply for permission to dive under KAUST auspices by submitting to the KAUST DSO a document containing all the information listed in Appendix 2C, signed by the DSO or designee of their home DCB.
- A visiting Scientific Diver may be asked to demonstrate their knowledge and skills for the planned dive.
- A scientific diver from an institution that is not an AAUS OM, but which has a Scientific Diving program as defined in Section 1.10, may request the Visiting Scientific Diver status by submitting their diver application in the KAUST Scientific Diving Portal, providing a copy of the home institution's Diving Safety Manual or equivalent, and documentation from the home DSO (or equivalent) demonstrating compliance with qualification standards substantially similar to AAUS. Such requests will be reviewed and approved or disapproved by the DCB.
- A visiting diver not under the jurisdiction of an institutional diving safety program must apply for authorization as a Temporary Diver (Section 4.30). Such requests must be reviewed and approved or disapproved by the DCB.

If KAUST denies an application for visiting Scientific Diver, the DCB must notify the

applicant and their DCB with an explanation of all reasons for the denial.

Waiver of Requirements

The KAUST DCB may grant a waiver for specific requirements of training, examinations, depth authorizations, and minimum activity to maintain authorizations. AAUS medical standards may not be waived.

1.30 Consequence of Violation of Regulations by Scientific Divers

Failure to comply with the regulations of KAUST's diving safety manual may be cause for the restriction or revocation of the diver's scientific diving authorization by action of KAUST's DCB.

1.40 Consequences of Violation of Regulations by Organizational Members

Failure to comply with the regulations of this *Manual* may be cause for the restriction or revocation of KAUST's recognition by AAUS.

1.50 Record Maintenance

KAUST must maintain consistent records for its diving program and for each participant. These records include but are not limited to: diving safety manual; equipment inspection, testing, and maintenance records; dive plans (project and/or individual); records of dive (project and/or individual); medical approval to dive; diver training records; diver authorization(s); individual dive log; dive incident reports; reports of disciplinary actions by the DCB; and other pertinent information deemed necessary by KAUST.

Availability of Records:

- Medical records must be available to an attending physician of a diver or former diver when released in writing by the diver.
- Records and documents required by this Manual must be retained by the KAUST Diving Safety Program for the following period:
    1. Diving safety manual – Current document only.
    2. Equipment inspection, testing, and maintenance records – Minimum current entry or tag.
    3. Records of Dive – minimum of 1 year, except 5 years where there has been an incident of pressure-related injury.
    4. Medical approval to dive – Minimum of 1 year past the expiration of the current document except 5 years where there has been an incident of pressure-related injury.
    5. Diver training records – Minimum of 1 year beyond the life of the diver's program participation.
    6. Diver authorization(s) – Minimum of 1 year beyond the life of the diver's program participation.
    7. Pressure-related injury assessment - 5 years.
    8. Reports of disciplinary actions by the DCB – Minimum of 1 year beyond the life of the diver's program participation.

SECTION 2.00 DIVING REGULATIONS

2.10 Introduction

No person shall engage in scientific diving operations under the auspices of KAUST's scientific diving program unless they are authorized pursuant to the provisions of this *Manual*.

2.20 Pre-Dive Procedures

Dive Plans

Before conducting any diving operations under the auspices of KAUST, a dive plan for the proposed project or dive must be formulated and submitted on the KAUST Dive Portal for approval by the DCB or DSO.

To allow time for effective review, revisions, and coordination with other institutions, dive plans involving:

- In Kingdom diving should be submitted at least 10 business days in advance of the planned dives;
- Out of Kingdom diving should be submitted at least 20 business days in advance;
- Visiting or Reciprocity divers should be submitted 20 business days in advance.

Complex and/or non-standard dive plans should be discussed with the DSO as early as possible. Dives should be planned around the competency of the least experienced diver. The dive plan (project or individual) should include the following:

- Diving Mode(s) and Gas(es)
- Divers' authorizations
- Approximate number of proposed dives
- Location(s) of proposed dives
- Estimated depth(s) and bottom time(s) anticipated
- Decompression status and repetitive dive plans, if required
- Proposed work, equipment, and boats to be employed
- Any hazardous conditions anticipated
- Emergency Action Plan (Appendix 8)
- In water details of the dive plan should include:
    - Dive Buddy assignments and tasks
    - Goals and objectives
    - Maximum depth(s) and bottom time
    - Gas management plan
    - Entry, exit, descent and ascent procedures
    - Perceived environmental and operational hazards and mitigations
    - Emergency and diver recall procedures

Diver Responsibility and Refusal to Dive

The decision to dive is that of the diver. The ultimate responsibility for safety rests with the individual diver. It is the diver's responsibility and duty to refuse to dive, without fear of penalty, if in his/her judgment, conditions are unsafe or unfavorable, or if he/she would be violating the precepts of regulations in this *Manual*.

No dive team member will be required to be exposed to hyperbaric conditions against his/her

will.

No dive team member may dive for the duration of any known condition, which is likely to adversely affect the safety and health of the diver or other dive team members.

Pre-dive Safety Checks

- Prior to commencing the dive, the team must assure that every team member is healthy, fit, and trained for the type of dive that is being attempted.
- Scientific divers must conduct a functional check of their diving equipment in the presence of the dive buddy or tender. They must ensure the equipment is functioning properly and suitable for the type of diving operation being conducted.
- Each diver must have the capability of achieving and maintaining positive buoyancy at the surface.
- Environmental conditions at the site will be evaluated prior to entering the water.

Pre-dive Briefings

Before conducting any diving operations under the auspices of the OM, the dive team members must be briefed on:

- Dive Buddy assignments and tasks
- Dive objectives.
- Maximum depth(s) and bottom time
- Turn around pressure and required surfacing pressure
- Entry, exit, descent and ascent procedures
- Perceived environmental and operational hazards and mitigations
- Emergency and diver recall procedures

2.30 Diving Procedures

Solo Diving Prohibition

All diving activities must assure adherence to the buddy system. This buddy system is based upon mutual assistance, especially in the case of an emergency.

Decompression Management

- On any given dive, both divers in the buddy pair must follow the most conservative dive profile
- A safety stop performed during the ascent phase of the dive should be conducted on any dive that exceeds 30 feet (9.14m).

Termination of the Dive

Any dive must be terminated while there is still sufficient cylinder pressure to permit the diver to safely reach the surface, including decompression time, or to safely reach an additional air source at the decompression station.

It is the responsibility of the diver to terminate the dive that he/she considers unsafe, without fear of reprisal, in a way that does not compromise the safety of another diver already in the water.

Emergencies and Deviations from Regulations

Any diver may deviate from the requirements of this *Manual* to the extent necessary to prevent or minimize a situation likely to cause death, serious physical harm, or major environmental

damage. A written report must be submitted to the DCB explaining the circumstances and justifications.

2.40 Post-Dive Procedures

Post-Dive Safety Checks

After the completion of a dive, each diver must report any physical problems, symptoms of decompression sickness, or equipment malfunctions to the Lead Diver, DSO, and/or DCB.

2.50 Emergency Procedures

Each OM will develop emergency procedures which follow the standards of care of the community and must include procedures and implementation criteria for emergency care, recompression, evacuation, and incident reporting.

2.60 Flying After Diving or Ascending to Altitude (Over 1000 feet/304 meters)

- Following a Single No-Decompression Dive: Divers should have a minimum preflight surface interval of 12 hours.
- Following Multiple Dives per Day or Multiple Days of Diving: Divers should have a minimum preflight surface interval of 18 hours.
- Following Dives Requiring Decompression Stops: Divers should have a minimum preflight surface interval of 24 hours.
- Before Ascending to Altitude Above 1000 feet (304 meters): Divers should follow the appropriate guideline for preflight surface intervals unless the decompression procedure used has accounted for the increase in elevation.

2.70 Record Keeping Requirements

Personal Diving Log

Each authorized scientific diver must log every dive made under the auspices of KAUST and is encouraged to log all other dives. Dives are to be logged using Appendix 11 and uploaded to the scientific diving management system. Logs must be submitted per local protocol and must remain in the divers' file. The dive log must include at least the following:

- Name of diver and buddy
- Date, time, and location
- Diving modes used
- General nature of diving activities
- Maximum depth and dive time
- Diving tables or computers used
- Detailed report of any near or actual incidents

Required Incident Reporting

All diving incidents requiring recompression treatment, or resulting in moderate or serious injury, or death must be reported to KAUST's DCB and AAUS in a timely manner. The DCB must record and report occupational injuries and illnesses in accordance with requirements of the appropriate Labor Code section. The DCB must investigate and document any incident of pressure-related injury and prepare a report that is to be forwarded to AAUS during the annual reporting cycle.

- If pressure-related injuries are suspected, or if symptoms are evident, the following additional information must be recorded and retained by the KAUST, with the record of the dive, for a period of 5 years:
    - Written descriptive report shall include:
        - Name, address, phone numbers of the principal parties involved.
        - Summary of experience of divers involved.
        - Location, description of dive site, and description of conditions that led up to incident.
        - The circumstances of the incident and the extent of any injuries or illnesses.
        - Description of symptoms, including depth and time of onset.
        - Description and results of treatment.
        - Disposition of case.
        - Recommendations to avoid repetition of incident.

In addition to requirements specific to KAUST, all diving incidents will be reported to the AAUS. This report must first be reviewed and released by KAUST's DCB and at a minimum contain:

- Complete AAUS Incident Report.
- Summary of experience of divers involved.
- Description of dive site, and description of conditions that led up to incident.
- The circumstances of the incident and the extent of any injuries or illnesses.
- Description of symptoms, including depth and time of onset.
- Description and results of treatment.
- Disposition of case.
- Recommendations to avoid repetition of incident.

SECTION 3.00 DIVING EQUIPMENT

3.10 General Policy

All equipment must meet standards as determined by the DSO and the DCB. All equipment must be regularly examined by the person using it and serviced according to manufacturer recommendations. Equipment that is subjected to extreme usage under adverse conditions should require more frequent testing and maintenance.

3.20 Equipment

The KAUST DCB must establish the minimum equipment configuration for all dives.

Regulators and Gauges

- Scuba regulators and gauges must be inspected and tested prior to each use and serviced, at a minimum, every 12 months or according to local regulations or manufacturer's recommendations (whichever comes first). In some cases, shorter service intervals may be required due to excessive use or environmental factors.
- Standard open circuit (OC) regulator configuration is:
  - A first stage
  - Primary 2nd stage
  - Back up 2nd stage

  } *Must be of the same manufacturer and be a manufacturer-approved configuration.*
  - Submersible Pressure Gauge (SPG)
  - Inflator hose for a Buoyancy Compensator Device
- A Full Face Mask may be used in place of the primary 2nd stage according to manufacturer's recommendations

Equipment for Determination of Decompression Status

- Each member of the buddy team must have an underwater timing device and depth indicator, or dive computer
- If dive tables are being used a set must be available at the dive location
- If a dive computer is used the diver must use the same computer used on repetitive dives.
- In an aquarium or other manmade structure of a known maximum obtainable depth:
  - A depth indicator is not required, except when a diver's decompression status must be taken into consideration on repetitive dives.
  - Only one buddy must be equipped with a timing device.
  - The maximum obtainable depth of the aquarium must be used as the diving depth.

Scuba Cylinders

- Scuba cylinders must be designed, constructed, and maintained in accordance with the applicable provisions of the Unfired Pressure Vessel Safety Orders.
- Scuba cylinders must be hydrostatically tested in accordance with DOT standards.
- Scuba cylinders must have an internal and external inspection at intervals not to exceed 12 months.
- Scuba cylinder valves must be functionally tested at intervals not to exceed 12 months.

Buoyancy Compensation Devices (BCD)

- Each diver must have the capability of achieving and maintaining neutral buoyancy underwater and positive buoyancy at the surface.
- BCDs, dry suits, or other variable volume buoyancy compensation devices must be equipped with an exhaust valve.

- These devices must be functionally inspected and tested at intervals not to exceed 12 months.
- BCDs, dry suits, or other variable volume buoyancy compensation devices must not be used as a lifting device in lieu of lift bags.

3.30 Auxiliary Equipment

Handheld Underwater Power Tools

- Power tools and equipment used underwater must be specifically approved for this purpose.
- Tools and equipment supplied with power from the surface must be de-energized before being placed into or retrieved from the water.
- Handheld power tools must not be supplied with power from the dive location until requested by the diver.

3.40 Support Equipment

First Aid Supplies

- A first aid kit and emergency oxygen appropriate for the diving being conducted must be available at the dive site.

Diver's Flag

- A diver's flag must be displayed prominently whenever diving is conducted under circumstances where required or where water traffic is probable.

Compressor Systems - KAUST Controlled

The following will be considered in design and location of compressor systems:

- Low-pressure compressors used to supply air to the diver if equipped with a volume tank must have a check valve on the inlet side, a relief valve, and a drain valve.
- Compressed air systems over 500 psig must have slow-opening shut-off valves.
- All air compressor intakes must be located away from areas containing exhaust or other contaminants.

3.50 Equipment Maintenance

Record Keeping

Each equipment modification, repair, test, calibration, or maintenance service must be logged, including the date and nature of work performed, serial number of the item (if applicable), and the name of the person performing the work for the following equipment:

- Regulators
- Gauges (SPG, Depth Gauges, Timers, and Dive Computers)
- BCDs
- Dry suits
- Scuba cylinders and valves
- Full Face Masks
- Compressors, air filtration systems, gas control panels, and storage banks
- Surface supplied equipment
- Rebreather systems
- Additional equipment categories as determined by the DCB

Compressor Operation and Air Test Records

Gas analyses and air tests must be performed on each KAUST-controlled breathing air compressor at regular intervals of no more than 100 hours of operation or 6 months, whichever occurs first. The

results of these tests must be entered in a formal log and be maintained.

3.60 Air Quality Standards

Breathing Gas

Breathing gas must meet the following specifications as set forth by the Compressed Gas Association (CGA Pamphlet G-7.1; see table below).

CGA Grade E	
Component	**Maximum**
Oxygen	20 - 22%/v
Carbon Monoxide	10 PPM/v
Carbon Dioxide	1000 PPM/v
Condensed Hydrocarbons	5 mg/m3
Total Hydrocarbons as Methane	25 PPM/v
Water Vapor ppm	(2)
Objectionable Odors	None

For breathing air used in conjunction with self-contained breathing apparatus in extreme cold where moisture can condense and freeze, causing the breathing apparatus to malfunction, a dew point not to exceed -50°F (63 pm v/v) or 10 degrees lower than the coldest temperature expected in the area is required.

Remote Operations

For remote site operations using gas sources not controlled by KAUST, every effort should be made to verify breathing gas meets the requirements of this standard. If CGA Grade E gas is not verifiable, the DCB must develop a protocol to mitigate risk to the diver.

SECTION 4.00 SCIENTIFIC DIVER CERTIFICATION AND AUTHORIZATIONS

This section describes the training and performance standards for AAUS Scientific Divers and represent the minimum required level of knowledge and skills presented in a generalized format. Individual diving programs are encouraged to expand upon and augment these requirements, develop or utilize appropriate educational materials, and optimize instructional programs to suit and reflect their specific needs.

4.10 Prerequisites

Administrative

The candidate must complete all administrative and legal documentation required by KAUST.

Entry Level Diver Certification

The candidate must, at minimum, show documented proof of Diver Certification or equivalent from an internationally recognized training agency. OMs who wish to train and certify entry level divers may do so under the standards of the most current version of the RSTC/WRSTC and/or ISO entry-level diver standards. Entry level diver training is a prerequisite to scientific diver training and therefore no part of entry level training may be counted in any way toward scientific diver training.

[1] "Minimum Course Content for Open Water Diver Certification"- World Recreational Scuba Training Council (WRSTC), www.wrstc.com.

[2] "Safety related minimum requirements for the training of recreational scuba divers -- Part 2: Level 2 -- Autonomous diver". ISO 24801-2:2007- International Organization for Standardization (ISO) - www.iso.org.

Medical Examination

The candidate must be medically qualified for diving as described in Section 5.0 and Appendices 3 - 7 of this Manual. AAUS medical standards may not be waived.

Swimming/Watermanship Evaluation

The candidate must demonstrate the following in the presence of the DSO or designee. All tests are to be performed without swim aids. However, where exposure protection is needed, the candidate must be appropriately weighted to provide for neutral buoyancy.

a) Swim underwater for a distance of 25 yards (23 meters) without surfacing.
b) Swim 400 yards (366 meters) in less than 12 minutes.
c) Tread water for 10 minutes, or 2 minutes without the use of hands.
d) Transport a passive person of equal size a distance of 25 yards (23 meters) in the water.

4.20 Training

The candidate must successfully complete prerequisites, theoretical aspects, practical training, and examinations for a minimum cumulative time of 100 hours and a minimum of 12 open water dives. Theoretical aspects must include principles and activities appropriate to the intended area of scientific study. Formats for meeting the 100 hour training requirement include the KAUST Scientific Diving Training Course, or a combination of formalized and on the job training.

When a diver's resume provides clear evidence of significant scientific diving experience, the diver can be given credit for meeting portions of the 100 hour course requirements. The DCB will identify specific overlap between on-the-job training, previous scientific diving training/experience and course requirements, and then determine how potential deficiencies will be resolved. However, KAUST cannot "test-out" divers, regardless of experience, when they have no previous experience in scientific diving.

Any candidate who does not convince the DCB, through the DSO, that they possess the necessary judgment, under diving conditions, for the safety of the diver and his/her buddy, may be denied KAUST scientific diving privileges.

Theoretical Training / Knowledge Development	
Required Topics:	**Suggested Topics:**
Diving Emergency Care Training • Cardiopulmonary Resuscitation (CPR) • AED • Standard or Basic First Aid • Recognition of DCS and AGE • Accident Management • Field Neurological Exam • Oxygen Administration	Specific Dive Modes (methods of gas delivery) • Open Circuit • Hookah • Surface Supplied diving • Rebreathers (closed and/or semi-closed)
Dive Rescue • To include procedures relevant to OM specific protocols. (See water skills below)	Specialized Breathing Gas • Nitrox • Mixed Gas
Scientific Method	Small Boat Operation
Data Gathering Techniques (Only items specific to area of study required) • Transects and Quadrats • Mapping • Coring • Photography • Tagging • Collecting • Animal Handling • Archaeology • Common Biota • Organism Identification • Behavior • Ecology • Site Selection, Location, and Re-	Specialized Environments and Conditions • Blue Water Diving • Altitude • Ice and Polar Diving (Cold Water Diving) • Zero Visibility Diving • Polluted Water Diving • Saturation Diving • Decompression Diving • Overhead Environments • Aquarium Diving • Night Diving • Kelp Diving • Strong Current Diving • Potential Entanglement/Entrapment

location • Specialized Data Gathering Equipment	• Live boating
Required Topics:	**Suggested Topics:**
Navigation	HazMat Training • Chemical Hygiene, Laboratory Safety (Use of Chemicals)
HazMat Training • HP Cylinders	
Decompression Management Tools • Dive Tables • Dive Computers • PC Based Software	Specialized Diving Equipment • Full face mask • Dry Suit • Communications • Dive Propulsion Vehicle (DPV) • SMBs/Lift Bags • Line Reels
AAUS Scientific Diving Regulations and History • Scientific Dive Planning • Coordination with other Agencies • Appropriate Governmental Regulations	
Hazards of breath-hold diving and ascents	
Dive Physics (Beyond entry level scuba)	Other Topics and Techniques as Determined by the DCB
Dive Physiology (Beyond entry level scuba)	
Dive Environments	
Decompression Theory and its Application	

Practical Training / Skill Development	
Confined Water	At the completion of training, the trainee must satisfy the DSO or DCB-approved designee of their ability to perform the following, as a minimum, in a pool or in sheltered water: • Enter water fully equipped for diving • Clear fully flooded face mask • Demonstrate air sharing and ascent using an alternate air source, as both donor and recipient, with and without a face mask • Demonstrate buddy breathing as both donor and recipient, with and without a face mask • Demonstrate understanding of underwater signs and signals • Demonstrate ability to remove and replace equipment while submerged • Demonstrate acceptable watermanship skills for anticipated scientific diving conditions
Open Water Skills	The trainee must satisfy the DSO, or DCB-approved designee, of their ability to perform at least the following in open water: • Surface dive to a depth of 10 feet (3 meters) without scuba* • Enter and exit water while wearing scuba gear* ^^ • Kick on the surface 400 yards (366 meters) while wearing scuba gear, but not breathing from the scuba unit* • Demonstrate proficiency in air sharing ascent as both donor and receiver* • Demonstrate the ability to maneuver efficiently in the environment, at and below the surface* ^^ • Complete a simulated emergency swimming ascent*

	• Demonstrate clearing of mask and regulator while submerged* • Underwater communications^^ • Demonstrate ability to achieve and maintain neutral buoyancy while submerged* • Demonstrate techniques of self-rescue and buddy rescue* • Navigate underwater ^ • Plan and execute a dive^ • Demonstrate judgment adequate for safe scientific diving* ^^
	Rescue Skills: • Rescue from depth and transport 25 yards (23 meters), as a diver, a passive simulated victim of an accident: surface diver, establish buoyancy, stabilize victim • Demonstrate simulated in-water mouth-to-mouth resuscitation • Removal of victim from water to shore or boat • Stressed and panicked diver scenarios • Recommendations For Rescue Of A Submerged Unresponsive Compressed-Gas Diver – Appendix 9
	Successfully complete a minimum of one checkout dive and at least eleven additional open water dives in a variety of dive sites, for a cumulative surface to surface time of 6 hours. Dives following the checkout dive(s) may be supervised by an active Scientific Diver holding the necessary depth authorization experienced in the type of diving planned, and with the knowledge and permission of the DSO
	The eleven dives (minimum) following the initial checkout dive may be conducted over a variety of depth ranges as specified by the KAUST DCB. Depth progression must proceed shallower to deeper after acceptable skills and judgement have been demonstrated, and are not to exceed 100 feet (30 m) during the initial 12 dive cycle
	* Checkout dive element ^^ Evaluated on all dives ^ Evaluated at some point during the training cycle

Examinations	
Equipment	The trainee will be subject to examination/review of: • Personal diving equipment • Task specific equipment • Function and manipulation of decompression computer to be employed by the diver (if applicable)
Written Exams	The trainee must pass a written examination reviewed and approved by the KAUST DCB that demonstrates knowledge of at least the following: • Function, care, use, and maintenance of diving equipment • Advanced physics and physiology of diving • Diving regulations • Applicable diving environments • Emergency procedures for KAUST-specific dive mode(s) and environments, including buoyant ascent and ascent by air sharing • Currently accepted decompression theory and procedures • Proper use of dive tables • Hazards of breath-hold diving and ascents

	• Planning and supervision of diving operations • Navigation • Diving hazards & mitigations • Cause, symptoms, treatment, and prevention of the following: near drowning, air embolism, hypercapnia, squeezes, oxygen toxicity, nitrogen narcosis, exhaustion and panic, respiratory fatigue, motion sickness, decompression sickness, hypothermia, and hypoxia/anoxia • Applicable theoretical training and knowledge development from the Required and Suggested Topics (above)

4.30 Diver Certification and Authorizations

Only a person diving under the auspices of an OM that subscribes to the practices of the AAUS is eligible for a scientific diver certification.

Diver-In-Training (DIT) Authorization

This is an authorization to dive, usable only while it is current and for the purpose intended. This authorization signifies that a diver has completed and been certified as at least an entry level diver through an internationally recognized certifying agency and has the knowledge skills and experience necessary to commence and continue training as a scientific diver under supervision, as approved by the DCB. DIT status must only be used when the diver is on his/her way to becoming certified as a scientific diver. While it is recommended for DIT's to have hands-on scientific diver experience during their training, the DIT status is intended to be a temporary authorization, not a substitute for Scientific Diver Authorization

Scientific Diver Authorization

Signifies a diver has completed all requirements in Section 4.20 and is authorized by KAUST to engage in scientific diving without supervision, as approved by the DCB through the DSO. Submission of documents and participation in aptitude examinations does not automatically result in authorization. To be certified, the applicant must demonstrate to the DCB, through the DSO, that s/he is sufficiently skilled and proficient, and possess the necessary judgement for their safety and/or that of the dive team. Scientific Diver Certification is only active when required authorizations are in place and current.

Scientific Aquarium Diver Authorization

Scientific Aquarium Diver is an authorization for a diver to participate in scientific diving solely in the aquarium environment.

All requirements set forth for Scientific Diver authorization must apply, except follows:

- Practical training must include at least 12 supervised aquarium dives for a cumulative bottom time of 6 hours.
- Training requirements for navigation and 400-yard (366-meter) surface swim in scuba gear may be waived at the discretion of the DCB.

Restricted Scientific Diver Authorization

A KAUST Scientific Diver whose Diving Emergency Care Training certifications (e.g., CPR, First Aid, or Oxygen Administration) have expired, or who has conducted fewer than the minimum required number of periodic dives for Active status, but who otherwise is currently qualified as a Scientific Diver according to the requirements of Section 4, may be granted an authorization to dive on a Restricted Diver status. It is intended in most cases that the Restricted Status be of temporary duration while the diver obtains recertification in the expired emergency response training (Section

4.20) or complete the minimum number of required dives.

- A KAUST Diver on Restricted status is eligible to dive on projects under KAUST jurisdiction, provided the diver is under the supervision of a fully authorized KAUST Scientific Diver. Diving must only be conducted within the Restricted Diver's depth authorization.
- A KAUST Diver on Restricted status is ineligible to:
    - Serve as a Lead Diver or Lead Buddy on a dive under KAUST jurisdiction;
    - Be referred to other institutions under reciprocity agreements;
    - Dive on projects involving joint operations with other institutions.

Temporary Diver Authorization

Only a diver not under the auspices of an AAUS OM may be granted a Temporary Diver Authorization. The individual in question must demonstrate proficiency in diving and can contribute measurably to a planned dive. A Temporary Diver Authorization constitutes a waiver of selected requirements of Section 4.0 and is valid only for a limited time, as approved by the DCB. A Temporary Diver Authorization must be restricted to the planned diving operation and must comply with all other policies, regulations, and standards of this Manual, including medical requirements. This authorization is not to be utilized as a repeated mechanism to circumvent existing standards set forth in this Manual.

KAUST Scientific Diver Authorization Procedure

Please refer to Appendix 2D.

4.40 Depth Authorizations

Depth Ratings and Progression to Next Depth Level

Indicates the maximum depth in which a diver can conduct science and may supervise other divers holding a lesser depth authorization. A scientific diver requires a valid depth authorization to be considered active.

A diver may be authorized to the next depth level after successfully completing the requirements for that level. A diver may exceed his/her depth authorization when accompanied and supervised by a dive buddy holding a depth authorization greater or equal to the intended depth. Dives must be planned and executed with the permission of the DCB or designee.

In the event a diver within the OM does not hold an authorization at the desired next level, the DCB may authorize a required progression or procedure for a diver to attain a deeper authorization.

a) **Authorization to 12 Meter Depth** - Initial science diver depth authorization, approved upon the successful completion of training listed in Section 4.00. Upon successful completion of training listed in Section 4.00 or entry to the program, 12 dives to a depth of 12m must be conducted before depth progression can occur, 6 of which must be between 6 – 12m.
Cumulative minimum supervised dives: 12.

b) **Authorization to 20 Meter Depth** - A diver holding a 12 meter authorization may be authorized to a depth of 20 meters after successfully completing and logging 12 supervised dives to depths between 12 and 20 meters, 6 of which must be between 15-20m. These dives must be conducted under supervision of a diver authorized by the DCB, for a minimum total time of 4 hours.
Cumulative minimum supervised dives: 24.

c) **Authorization to 30 Meter Depth** - A diver holding a 20 meter authorization may be authorized to a depth of 30 meters after completing the following procedures:

Prerequisites:
- Obtain permission from the DCB, or designee, to plan and execute dives to 30 meters, demonstrating proficiency in the use of the appropriate decompression profiling method.
- Provide academic justification for requirement to conduct depth progression.
- Proof of Advanced Open Water Qualification
- Proof of 50 Open Water Dives (Recreational & Scientific)

If all prerequisites are met, authorization shall be granted after the following criteria are met:
- Successful completion of training plan established by the DSO.
- A Diver must successfully complete and log 12 supervised dives to depths between 20 and 30 meters, 6 of which must be between 25 – 30m. These dives must be conducted under supervision of an authorized 30m diver.
- Proof of 70 Open Water Dives (Recreational & Scientific)

Cumulative minimum supervised dives: 36.

d) **Authorization to 40 Meter Depth** - Suspended pending further review.

e) **Authorization to 50 Meter Depth** - Suspended pending further review.

f) **Authorization to 60 Meter Depth** - Suspended pending further review.

g) **Authorization to 76 Meter Depth** - Suspended pending further review.

h) **Authorization to 90 Meter Depth** - Suspended pending further review.

i) **Authorizations deeper than 90 meters** – Suspended pending further review.

At their discretion, the DCB and DSO reserve the authority to review any Depth Authorization at any time. The DCB may grant a waiver for specific requirements of depth authorizations, provided the total number of dives to obtain a given depth authorization does not fall below the cumulative number of dives required by AAUS.
Visiting divers (non-scientific) will have a limited depth authorization of 12m, unless otherwise authorized by the DCB.

4.50 Maintaining Active Status

Minimum Activity to Maintain Authorizations

During any 12-month period, each scientific diver must log a minimum of 12 scientific, scientific training, or proficiency dives. At least one dive must be logged near the maximum depth, as defined by the DCB, of the diver's authorization during each 6-month period. Divers authorized to 150 feet or deeper may satisfy these requirements with dives to 130 feet or deeper. Failure to meet these requirements will result in revocation or restriction of authorization by the DSO under procedures established by the DCB.

Requalification of Authorization

Once the initial requirements of Section 4.00 are met, divers whose depth authorization has lapsed due to lack of activity may be requalified by procedures adopted by the DCB.

Medical Examination

All scientific divers must pass a medical examination at the intervals specified in Section 5.0. A medically cleared diver experiencing any Conditions Which May Disqualify Candidates From Diving (Appendix 3) must receive clearance to return to diving from a physician before resuming diving activities. This medical examination requirement cannot be waived for any diver.

Emergency Care Training

The scientific diver must hold current training in the following:

- Adult CPR and AED
- Emergency oxygen administration
- First aid for diving accidents

4.60 Revocation of Authorization

An individual's scientific diver certification can be restricted or revoked for cause by the DCB. Authorizations associated with an individual's scientific diver certification may be restricted or suspended for cause by the DSO. Restrictions or suspensions issued by the DSO may be rescinded by the DSO; these issues will be reported to and reviewed by the DCB, and the outcomes or actions resulting from this review will be documented in the diver's OM record. Violations of regulations set forth in this Manual or other governmental subdivisions not in conflict with this Manual, or demonstration of poor judgement, may be considered cause. The DCB or designee must inform the

diver in writing of the reason(s) for revocation. The diver will be given the opportunity to present their case in writing to the DCB for reconsideration. Following revocation, the diver may be reauthorized after complying with conditions the DCB may impose. All such written statements and requests, as identified in this section, are formal documents, and therefore part of the diver's file.

SECTION 5.00 MEDICAL STANDARDS

5.10 Medical Requirements

General

- All medical evaluations required by this *Manual* must be performed by, or under the direction of, a licensed physician of the applicant-diver's choice, preferably one trained in diving/undersea medicine.
- The diver should be free of any chronic disabling disease and any conditions contained in the list of conditions for which restrictions from diving are generally recommended. (Appendix 3)
- Occupational health will verify that scientific divers have been declared by the examining licensed physician to be fit to engage in diving activities.
- Clearance to return to diving post injury must come from a physician trained in diving medicine and/or the recommended dive medicine specialist as chosen by Occupational Health following a medically cleared diver experiencing any conditions which may disqualify candidates from diving or following any major injury or illness, or any condition requiring chronic medication.
- All scientific diver medical examinations will be performed in accordance with the AAUS medical evaluation of fitness for scuba diving report (Appendix 3, 4A, and 4B).

5.20 Frequency of Medical Evaluations

Medical evaluation must be completed:		
Before Age 40	After age 40 Before Age 60	After Age 60
Before a diver may begin diving, unless an equivalent initial medical evaluation has been given within the preceding 5 years	Before a diver may begin diving, unless an equivalent initial medical evaluation has been given within the preceding 3 years	Before a diver may begin diving, unless an equivalent initial medical evaluation has been given within the preceding 2 years
At 5-year intervals	At 3-year intervals	At 2-year intervals
Clearance to return to diving must be obtained from a healthcare provider following a medically cleared diver experiencing any Conditions Which May Disqualify Candidates From Diving (Appendix 3), or following any major injury or illness, or any condition requiring chronic medication. If the condition is pressure related, the clearance to return to diving must come from a physician trained in diving medicine.		

5.30 Information Provided Examining Physician

KAUST must provide a copy of the medical evaluation requirements of this *Manual* to the examining physician. (Appendices 3, 4A, 4B, and 5).

5.40 Content of Medical Evaluations

Medical examinations conducted initially and at the intervals specified in Section 5.20 must consist of the following:

1. Diving physical examination (Appendix 4A). Modifications or omissions of required tests are not permitted
2. Applicant agreement for release of medical information to the Diving Safety Officer,

Occupational Health and the DCB (Appendix 4B)

3. Medical history (Appendix 5)

5.50 Physician's Written Report

- A Medical Evaluation of Fitness For Scuba Diving Report signed by the examining physician stating the individual's fitness to dive, including any recommended restrictions or limitations will be submitted to KAUST Occupational Health for the diver's record after the examination is completed.
- The Medical Evaluation of Fitness For Scuba Diving Report will be reviewed by KAUST Occupational Health and authorizations will be updated accordingly.
- KAUST Occupational Health will provide the diver with a certificate of clearance to upload onto their diver profile on bloop.
- A copy of any physician's written reports will be made available to the individual.
- It is the diver's responsibility to provide to KAUST Occupational Health a written statement from the examining medical authority listing any restrictions, limitations, or clearances to dive resulting from medical examinations obtained by the individual outside of their normal diving medical examination cycle. These statements will be reviewed by the DCB or designee and the diver's record and authorizations will be updated accordingly.

Volume 2

Sections 6.00 through 12.00
Required Only When Conducting Described Diving Activities
and
Organizational Member Specific Sections

SECTION 6.00 NITROX DIVING

This section describes the requirements for authorization and use of nitrox for Scientific Diving.

6.10 Requirements for Nitrox Authorization

Prior to authorization to use nitrox, the following minimum requirements must be met:

Prerequisites

Only a certified Scientific Diver or DIT diving under the auspices of KAUST is eligible for authorization to use nitrox.

Application for authorization to use nitrox must be made to the DCB. Submission of documents and participation in aptitude examinations does not automatically result in authorization to use nitrox. The applicant must convince the DCB through the DSO that they are sufficiently knowledgeable, skilled and proficient in the theory and use of nitrox for diving.

Training

In lieu of writing/promulgating AAUS specific training standards for Nitrox divers, AAUS references the standards for Nitrox diver training as defined by the WRSTC and/or ISO. AAUS programs who wish to train Nitrox divers may do so using one of the following options:

a) Under the auspices and standards of an internationally recognized diver training agency.

b) Under the auspices of AAUS using the minimum guidelines presented by the most current version of the RSTC/WRSTC and/or ISO Nitrox diver training standards.

References:
"Minimum Course Content for Enriched Air Nitrox Certification" - World Recreational Scuba Training Council (WRSTC), www.wrstc.com.

"Recreational diving services- Requirements for training programs on enriches air nitrox (EAN) diving". ISO 11107:2009 - International Organization for Standardization (ISO), www.iso.org

Practical Evaluation

- Oxygen analysis of nitrox mixtures.
- Determination of MOD, oxygen partial pressure exposure, and oxygen toxicity time limits, for various nitrox mixtures at various depths.
- Determination of nitrogen-based dive limits status by EAD method using air dive tables, and/or using nitrox dive tables, as approved by the DCB.
- Nitrox dive computer use may be included, as approved by the DCB.
- A minimum of two supervised open water dives using nitrox is required for authorization.

Written Evaluation

- Function, care, use, and maintenance of equipment cleaned for nitrox use.
- Physical and physiological considerations of nitrox diving (eg.: O_2 and CO_2 toxicity)
- Diving regulations, procedures/operations, and dive planning as related to nitrox diving
- Equipment marking and maintenance requirements
- Dive table and/or dive computer usage
- Calculation of: MOD, pO_2, and other aspects of Nitrox diving as required by the DCB

6.20 Minimum Activity to Maintain Authorization

The diver should log at least one nitrox dive per year. Failure to meet the minimum activity level may be cause for restriction or revocation of nitrox authorization.

6.30 Operational Requirements

Oxygen Exposure Limits

- The inspired oxygen partial pressure experienced at depth should not exceed 1.6 ATA.
- The maximum allowable exposure limit should be reduced in cases where cold or strenuous dive conditions, or extended exposure times are expected.

Calculation of Decompression Status

- A set of DCB approved nitrox dive tables should be available at the dive site.
- Dive computers may be used to compute decompression status during nitrox dives. Manufacturers' guidelines and operation instructions should be followed.
- Dive computers capable of pO_2 limit and fO_2 adjustment should be checked by the diver prior to the start each dive to ensure conformity with the mix being used.

Gas Mixture Requirements

- Only nitrox mixtures and mixing methods approved by the DCB may be used.
- OM personnel mixing nitrox must be qualified and approved by the DCB for the method(s) used.
- Oxygen used for mixing nitrox should meet the purity levels for "Medical Grade" (U.S.P.) or "Aviator Grade" standards.
- In addition to the AAUS Air Purity Guidelines outlined in Section 3.60, any air that may come in contact with oxygen concentrations greater than 40% (i.e.. during mixing), must also have a hydrocarbon contaminant no greater than .01 mg/m^3.
    - For remote site operations using compressors not controlled by the OM where this is not verifiable, the DCB must develop a protocol to mitigate risk to the diver.

Analysis Verification by User

- Prior to the dive, it is the responsibility of each diver to analyze the oxygen content of his/her scuba cylinder. And acknowledge in writing the following information for each cylinder: fO_2, MOD, cylinder pressure, date of analysis, and user's name.
- Individual dive log reporting forms should report fO_2 of nitrox used, if different than 21%.

6.40 Nitrox Diving Equipment

Required Equipment

All of the designated equipment and stated requirements regarding scuba equipment required in the *AAUS Manual* apply to nitrox operations. Additional minimal equipment necessary for nitrox diving operations includes:

- Labeled SCUBA Cylinders in Accordance with Industry Standards
- Oxygen Analyzers
- Oxygen compatible equipment as applicable

Requirement for Oxygen Service

- All equipment, which during the dive or cylinder filling process is exposed to concentrations greater than 40% oxygen, should be cleaned and maintained for oxygen service.
- Any equipment used with oxygen or mixtures containing over 40% by volume oxygen must be designed and maintained for oxygen service. Oxygen systems over 125 psig must have slow-opening shut-off valves.

Compressor system

- Compressor/filtration system must produce oil-free air, or
- An oil-lubricated compressor placed in service for a nitrox system should be checked for oil and hydrocarbon contamination at least quarterly.

SECTION 7.00 SURFACE SUPPLIED DIVING TECHNOLOGIES

Suspended pending further review.
Please contact the DCB & DSO if you have any questions related to this topic.

SECTION 8.00 STAGED DECOMPRESSION DIVING

Decompression diving is defined as any diving during which the diver cannot perform a direct return to the surface without performing a mandatory decompression stop to allow the release of inert gas from the diver's body.

The following procedures must be observed when conducting dives requiring planned decompression stops.

8.10 Minimum Experience and Training Requirements

Prerequisites

1) Scientific Diver qualification according to Section 4.00.
2) Minimum of 100 logged dives with experience in the depth range where decompression dives will be conducted.
3) Demonstration of the ability to safely plan and conduct dives deeper than 100 feet.
4) Nitrox certification/authorization according to AAUS Section 6.00 recommended.

Training

Training must be appropriate for the conditions in which dive operations are to be conducted. Minimum Training must include the following:

1. A minimum of 6 hours of classroom training to ensure theoretical knowledge to include: physics and physiology of decompression; decompression planning and procedures; gas management; equipment configurations; decompression method, emergency procedures, and omitted decompression.
2. It is recommended that at least one training session be conducted in a pool or sheltered water setting, to cover equipment handling and familiarization, swimming and buoyancy control, to estimate gas consumption rates, and to practice emergency procedures.
3. At least 6 open-water training dives simulating/requiring decompression must be conducted, emphasizing planning and execution of required decompression dives, and including practice of emergency procedures.
4. Progression to greater depths must be by 6-dive increments at depth intervals as specified in Section 5.50.
5. No training dives requiring decompression shall be conducted until the diver has demonstrated acceptable skills under simulated conditions.
6. The following are the minimum skills the diver must demonstrate proficiently during dives simulating and requiring decompression:
   - Buoyancy control
   - Proper ascent rate
   - Proper depth control
   - Equipment manipulation
   - Stage/decompression bottle use as pertinent to planned diving operation
   - Buddy skills
   - Gas management
   - Time management
   - Task loading
   - Emergency skills

7. Divers must demonstrate to the satisfaction of the DSO or the DSO's qualified designee proficiency in planning and executing required decompression dives appropriate to the conditions in which diving operations are to be conducted.
8. Upon completion of training, the diver must be authorized to conduct required decompression dives with DSO approval.

8.20 Minimum Equipment Requirements

1. Valve and regulator systems for primary (bottom) gas supplies must be configured in a redundant manner that allows continuous breathing gas delivery in the event of failure of any one component of the regulator/valve system.
2. Cylinders with volume and configuration adequate for planned diving operations
3. One of the second stages on the primary gas supply must be configured with a hose of adequate length to facilitate effective emergency gas sharing in the intended environment.
4. Minimum dive equipment should include:
   a) Diver location devices adequate for the planned diving operations and environment.
   b) Compass
5. Redundancy in the following components may be required at the discretion of the DCB:
   a) Decompression Schedules
   b) Dive Timing Devices
   c) Depth gauges
   d) Buoyancy Control Devices
   e) Cutting devices
   f) Lift bags and line reels

8.30 Minimum Operational Requirements

1. The maximum pO_2 to be used for planning required decompression dives is 1.6 for open circuit. It is recommended that a pO_2 of less than 1.6 be used during bottom exposure.
2. Decompression dives may be planned using dive tables, dive computers, and/or PC software approved by the DCB.
3. Breathing gases used while performing in-water decompression must contain the same or greater oxygen content as that used during the bottom phase of the dive.
4. The dive team prior to each dive must review emergency decompression procedures appropriate for the planned dive.
5. If breathing gas mixtures other than air are used for required decompression, their use must be in accordance with those regulations set forth in the appropriate sections of this Manual.
6. Use of additional nitrox and/or high-oxygen fraction decompression mixtures as travel and decompression gases to decrease decompression obligations is recommended.
7. Use of alternate inert gas mixtures to limit narcosis is recommended for depths greater than

150 feet.

8. The maximum depth for required decompression using air as the bottom gas is 190 feet.
9. If a period of more than 6 months has elapsed since the last decompression dive, a series of progressive workup dives defined by the DCB to return the diver(s) to proficiency status prior to the start of project diving operations are required.
10. Mission specific workup dives are recommended.

SECTION 9.00 MIXED GAS DIVING

Suspended pending further review.

Please contact the DCB & DSO if you have any questions related to this topic.

SECTION 10.00 SPECIALIZED DIVING ENVIRONMENTS

Suspended pending further review.

Please contact the DCB & DSO if you have any questions related to this topic.

SECTION 11.00 REBREATHERS

Suspended pending further review.

Please contact the DCB & DSO if you have any questions related to this topic.

SECTION 12.00 SCIENTIFIC CAVE AND CAVERN DIVING

Suspended pending further review.

Please contact the DCB & DSO if you have any questions related to this topic.

SECTION 13.00: SNORKELING

This section describes the authorization and procedures for snorkeling under KAUST auspices.

13.10 Requirements for Snorkeling Authorization

Snorkelers are not required to be Authorized Scientific Divers and can be a stand-alone authorization under KAUST auspices. Snorkelers are expected to comply with relevant dive regulations outlined in Section 2.0.

Snorkelers must successfully complete prerequisites and training before authorization may be granted.

Pre-requisites

Scientific Snorkeling

Snorkelers must:

- Complete and submit KAUST Snorkeling Medical Declaration and Experience form to Occupational Health. See Section 5.5 and Appendix 6
- Complete a swim evaluation, without aids, by DSO:
    - 366 meters continuous surface swim with no floatation or swim aids in less than 12 minutes;
    - Swim underwater for a distance of 23 meters without surfacing;
    - Tread water for 10 minutes, with no floatation or swim aids, or 2 minutes without hands.
- Hold a valid DAN Insurance policy

Non-Scientific / Non-Scientific Visitors

Snorkelers must:

- Complete and submit KAUST Snorkeling Medical Declaration and Experience form to Occupational Health. See Section 5.5 and Appendix 6
- Complete a swim evaluation, without aids, by DSO:
    - 200 meters continuous surface swim with no floatation or swim aids and;
    - 5 minute tread with no floatation or swim aids OR
    - 10 minute continuous surface swimming with mask, snorkel, fins, and appropriate exposure protection and proper weighting.
- Hold a valid DAN Insurance policy

Training

When a snorkeler's resume provides clear evidence of significant snorkeling training and experience, credit may be given towards the training requirements. Theoretical and practical training topics may include:

Academic

Physics, physiology, hazardous marine life, and equipment.
Water safety: problem management, emergency procedures, buddy system, hand signals, and planning and supervision.
Local environmental conditions: environmental conditions and hazards and mitigations.

In water skills

- Entries/exits
- Surface swimming
- Buoyancy check
- Equalization
- Finning techniques
- Mask clearing
- Weight belt R&R
- Dives and ascents
- Snorkel breathing and airway control
- Self rescue and cramp release
- Diver assist and rescue
- Extraction considerations
- Gear management
- Hand signals
- Buddy contact.

13.20 Snorkeling Procedures

Lead Snorkeler

For each snorkel trip, one individual shall be designated as the Lead Snorkeler who shall be at the location during the diving operation and must not be involved in, or overseeing, any other activities (i.e. they must be in the water and actively engaged in snorkeling, or acting as snorkel supervisor).
A Lead Snorkeler must be experienced in the techniques being used.

The Lead Snorkeler shall be responsible for:

- Ensuring each snorkel trip is conducted in accordance with this manual.
- Ensuring all participants possess current snorkel authorization.
- Coordination with other known activities in the vicinity that are likely to interfere with snorkel operations.
- Ensuring safety and emergency equipment is in working order and at the location.
- Suspending snorkel operations if, in their opinion, conditions are not safe.
- Reporting to the DCB, through the DSO, any incident or near miss relating to in-water activities.

Snorkel Supervisor

For every snorkel activity, a snorkel supervisor must be assigned prior and must be present on the surface during the activity. They must not be involved in, or overseeing, any other activities that

hinder their ability to monitor those in the water or instigate emergency procedures, if required.

A Snorkel Supervisor must:

- Supervise up to a maximum ratio of 1:6 (Supervisor : Snorkelers)
- Remain in direct line of sight with snorkelers. Snorkelers should remain within a 50m radius from the vessel/dive platform or, when operating from the shore, snorkelers should remain within 50m from the coast and no further than 100m from the entry point. If environmental conditions impede ability to comply with this requirement, it shall be evaluated by the DSO.
- Be equipped with a whistle and recall method.
- Log snorkeler count in and out of the water.

Snorkeler

Snorkelers must:

- Be positively buoyant as demonstrated by the diver floating at collar bone height at the surface.
- Adhere to a 3m depth limit with a limited-duration breath-hold (max 60 seconds). Freediving is prohibited.
- Work in buddy pairs and adhere to one up/one down procedure for breath-holds.
- Always remain in direct observation of their buddy and be capable of rendering in-water assistance.

Snorkeling requirement

Snorkeling Activities must:

- Only be used for observation and/or light recovery or collection techniques.
- Include, at a minimum, a 3-person team (Supervisor & Snorkel Buddy Pair), of which 2 must hold a valid First Aid certificate (Per sections 4.20 and 4.50 of this manual). It is recommended that Visiting Snorkelers hold a valid first aid qualification but not mandatory.
- Snorkelers should remain within a 50m radius from the vessel/dive platform or, when operating from the shore, snorkelers should remain within 50m from the coast and no further than 100m from the entry point. If environmental conditions impede ability to comply with this requirement, it shall be evaluated by the DSO.

Required Equipment

- Mask and snorkel
- Fins
- Appropriate exposure protection and/or wetsuit for buoyancy
- Whistle
- Surface Marker (buoy, flag etc.)
- Other equipment may be required based on activity as defined by risk assessment (rest tube, nautilus etc.)

Additional Considerations

Breath-holds should not be conducted within 12 hours after 1 SCUBA Dive or 24 hours after multiple SCUBA Dives.

DCB & DSO Authority

- Any snorkeler may be asked to demonstrate their knowledge and skills at any time.
- At their discretion, the DCB and DSO reserve the authority to review, revoke or waive the requirements of any individual's application or status.

Failure to Comply

Members of KAUST have a responsibility to understand and follow this manual and are expected to comply with it. A violation of this manual may result in appropriate disciplinary action, including the possible termination from KAUST. Please refer to the Disciplinary Policy and Procedure.

Appendices

APPENDIX 1
DEFINITION OF TERMS

ADAS - Australian Diving Accreditation Scheme

AED - Automated external defibrillators

Air sharing - Sharing of an air supply between divers.

ATA(s) - "Atmospheres Absolute", Total pressure exerted on an object, by a gas or mixture of gases, at a specific depth or elevation, including normal atmospheric pressure.

Alternate Gas Supply - Fully redundant system capable of providing a gas source to the diver should their primary gas supply fail.

Authorization-The DCB authorizes divers to dive using specialized modes of diving, and the depth they may dive to.

BELSPO – Belgian Science Policy Office

Breath-hold Diving - A diving mode in which the diver uses no self-contained or surface-supplied air or oxygen supply.

Bubble Check **-** Visual examination by the dive team of their diving systems, looking for O-ring leaks or other air leaks conducted in the water prior to entering a cave. Usually included in the "S" Drill.

Buddy Breathing - Sharing of a single air source between divers.

Buddy System -Two comparably equipped scuba divers in the water in constant communication.

Buoyant Ascent - An ascent made using some form of positive buoyancy.

CAUS - Canadian Association for Underwater Science

Cave Dive - A dive, which takes place partially or wholly underground, in which one or more of the environmental parameters defining a cavern dive are exceeded.

Cavern Dive **-** A dive which takes place partially or wholly underground, in which natural sunlight is continuously visible from the entrance.

Certified Diver - A diver who holds a recognized valid certification from an AAUS OM or internationally recognized certifying agency.

(Scientific Diver) Certification- A diver who holds a recognized valid certification from an AAUS OM

Controlled Ascent - Any one of several kinds of ascents including normal, swimming, and air sharing ascents where the diver(s) maintain control so a pause or stop can be made during the ascent.

CPR - Cardiopulmonary Resuscitation

Cylinder - A pressure vessel for the storage of gases.

Decompression Sickness - A condition with a variety of symptoms, which may result from gas, and bubbles in the tissues of divers after pressure reduction.

Designated Person-In-Charge – Surface Supplied diving mode manning requirement. An individual designated by the OM DCB or designee with the experience or training necessary to direct, and oversee in the surface supplied diving operation being conducted.

Dive - A descent into the water, an underwater diving activity utilizing compressed gas, an ascent, and return to the surface.

Dive Computer - A microprocessor based device which computes a diver's theoretical decompression status, in real time, by using pressure (depth) and time as input to a decompression model, or set of decompression tables, programmed into the device.

Dive Location - A surface or vessel from which a diving operation is conducted.

Dive Site - Physical location of a diver during a dive.

Dive Table - A profile or set of profiles of depth-time relationships for ascent rates and breathing mixtures to be followed after a specific depth-time exposure or exposures.

Diver – A person who stays underwater for long periods by having compressed gas supplied from the surface or by carrying a supply of compressed gas.

Diver-In-Training - An individual gaining experience and training in additional diving activities under the supervision of a dive team member experienced in those activities.

Diving Mode - A type of diving required specific equipment, procedures, and techniques, for example, snorkel, scuba, surface-supplied air, or mixed gas.

Diving Control Board (DCB) - Group of individuals who act as the official representative of the membership organization in matters concerning the scientific diving program (See Diving Control Board under Section 1.0).

Diving Safety Officer (DSO) - Individual responsible for the safe conduct of the scientific diving program of the membership organization (See Diving Safety Officer under Section 1.0).

DPIC – See Designated Person-In-Charge.

EAD - Equivalent Air Depth (see below).

Emergency Swimming Ascent - An ascent made under emergency conditions where the diver may exceed the normal ascent rate.

Enriched Air (EANx) - A name for a breathing mixture of air and oxygen when the percent of oxygen exceeds 21%. This term is considered synonymous with the term "nitrox" (Section 6.00).

Equivalent Air Depth (EAD) - Depth at which air will have the same nitrogen partial pressure as the nitrox mixture being used. This number, expressed in units of feet seawater or saltwater, will always be less than the actual depth for any enriched air mixture.

ESDP - European Scientific Diving Panel

Flooded Mine Diving - Diving in the flooded portions of a man-made mine. Necessitates use of techniques detailed for cave diving.

fO_2 - Fraction of oxygen in a gas mixture, expressed as either a decimal or percentage, by volume.

FSDSA - Finish Scientific Diving

FSW - Feet of seawater.

Gas Management - Gas planning rule which is used in cave diving environments in which the diver reserves a portion of their available breathing gas for anticipated emergencies (See Rule of Thirds, Sixths).

Gas Matching – The technique of calculating breathing gas reserves and turn pressures for divers using different volume cylinders. Divers outfitted with the same volume cylinders may employ the Rule of Thirds for gas management purposes. Divers outfitted with different volume cylinders will not observe the same gauge readings when their cylinders contain the same gas volume, therefore the Rule of Thirds

will not guarantee adequate reserve if both divers must breathe from a single gas volume at a Rule of Thirds turn pressure. Gas Matching is based on individual consumption rates in volume consumed per minute. It allows divers to calculate turn pressures based on combined consumption rates and to convert the required reserve to a gauge based turn pressure specific to each diver's cylinder configuration.

Guideline - Continuous line used as a navigational reference during a dive leading from the team position to a point where a direct vertical ascent may be made to the surface.

Hookah - While similar to Surface Supplied in that the breathing gas is supplied from the surface by means of a pressurized hose, the supply hose does not require a strength member, pneumofathometer hose, or communication line. Hookah equipment may be as simple as a long hose attached to a standard scuba cylinder supplying a standard scuba second stage. The diver is responsible for the monitoring his/her own depth, time, and diving profile.

Hyperbaric Chamber - See Recompression chamber.

Hyperbaric Conditions - Pressure conditions in excess of normal atmospheric pressure at the dive location.

Independent Reserve Breathing Gas - A diver-carried independent supply of air or mixed gas (as appropriate) sufficient under standard operating conditions to allow the diver to reach the surface, or another source of breathing gas, or to be reached by another diver.

INPP - Institut National De Plongée Professionnelle

Jump/Gap Reel - Spool or reel used to connect one guide-line to another thus ensuring a continuous line to the exit.

KFD - Kommision Forschungstauchen Deutschland

Life Support Equipment – Underwater equipment necessary to sustain life.

Lead Diver - Certified scientific diver with experience and training to conduct the diving operation.

Organizational Member (OM) - An organization which is a current member of the AAUS, and which has a program, which adheres to the standards of the AAUS as, set forth in the *AAUS Manual*.

Manifold with Isolator Valve - A manifold joining two diving cylinders, that allows the use of two completely independent regulators. If either regulator fails, it may be shut off, allowing the remaining regulator access to the gas in both of the diving cylinders.

Mixed Gas - Breathing gas containing proportions of inert gas other than nitrogen greater than 1% by volume.

Mixed Gas Diving - A diving mode in which the diver is supplied in the water with a breathing gas other than air.

MOD - Maximum Operating Depth, usually determined as the depth at which the pO_2 for a given gas mixture reaches a predetermined maximum.

Nitrox - Any gas mixture comprised predominately of nitrogen and oxygen, most frequently containing between 22% and 40% oxygen. Also be referred to as Enriched Air Nitrox, abbreviated EAN.

Normal Ascent - An ascent made with an adequate air supply at a rate of 30 feet per minute or less.

OTU - Oxygen Toxicity Unit

Oxygen Compatible - A gas delivery system that has components (O-rings, valve seats, diaphragms, etc.) that are compatible with oxygen at a stated pressure and temperature.

Oxygen Service - A gas delivery system that is both oxygen clean and oxygen compatible.

Oxygen Toxicity - Any adverse reaction of the central nervous system ("acute" or "CNS" oxygen toxicity) or lungs ("chronic", "whole-body", or "pulmonary" oxygen toxicity) brought on by exposure to an increased (above atmospheric levels) partial pressure of oxygen.

Penetration Distance - Linear distance from the entrance intended or reached by a dive team during a dive at a dive site.

Pressure-Related Injury - An injury resulting from pressure disequilibrium within the body as the result of hyperbaric exposure. Examples include: decompression sickness, pneumothorax, mediastinal emphysema, air embolism, subcutaneous emphysema, or ruptured eardrum.

Pressure Vessel - See cylinder.

pO_2 - Inspired partial pressure of oxygen, usually expressed in units of atmospheres absolute.

Primary Reel - Initial guideline used by the dive team from open water to maximum penetration or a permanently installed guideline.

Psi - Unit of pressure, "pounds per square inch.

Psig - Unit of pressure, "pounds per square inch gauge.

Recompression Chamber - A pressure vessel for human occupancy. Also called a hyperbaric chamber or decompression chamber.

Restriction - Any passage through which two divers cannot easily pass side by side while sharing air.

Rule of Thirds - Gas planning rule which is used in cave diving environments in which the diver reserves 2/3's of their breathing gas supply for exiting the cave or cavern.

Rule of Sixths - Air planning rule which is used in cave or other confined diving environments in which the diver reserves 5/6's of their breathing gas supply (for DPV use, siphon diving, etc.) for exiting the cave or cavern.

Safety Drill - ("S" Drill) - Short gas sharing, equipment evaluation, dive plan, and communication exercise carried out prior to entering a cave or cavern dive by the dive team.

Safety Reel - Secondary reel used as a backup to the primary reel, usually containing 150 feet of guideline that is used in an emergency.

Safety Stop – A stop made between 15-20 feet (5-6 meters) for 3-5 minutes during the final ascent phase of a dive.

Scientific Diving - Scientific diving is defined (29CFR1910.402) as diving performed solely as a necessary part of a scientific, research, or educational activity by employees whose sole purpose for diving is to perform scientific research tasks.

Scuba Diving - A diving mode independent of surface supply in which the diver uses open circuit self-contained underwater breathing apparatus.

SDNZ - Science Diving New Zealand

Side Mount - A diving mode utilizing two independent SCUBA systems carried along the sides of the diver's body; either of which always has sufficient air to allow the diver to reach the surface unassisted.

Siphon - Cave into which water flows with a generally continuous in-current.

Standby Diver - A diver at the dive location capable of rendering assistance to a diver in the water.

Surface Supplied Diving - Surface Supplied: Dives where the breathing gas is supplied from the surface by means of a pressurized umbilical hose. The umbilical generally consists of a gas supply hose, strength member, pneumofathometer hose, and communication line. The umbilical supplies a helmet or full-face mask. The diver may rely on the tender at the surface to keep up with the divers' depth, time and diving profile.

Swimming Ascent - An ascent, which can be done under normal or emergency conditions accomplished by simply swimming to the surface.

Tender - Used in Surface supplied and tethered diving. The tender comprises the topsides buddy for the in-water diver on the other end of the tether. The tender must have the experience or training to perform the assigned tasks in a safe and healthful manner.

Turn Pressure – The gauge reading of a diver's open circuit scuba system designating the gas limit for terminating the dive and beginning the exit from the water.

Umbilical - Composite hose bundle between a dive location and a diver or bell, or between a diver and a bell, which supplies a diver or bell with breathing gas, communications, power, or heat, as appropriate to the diving mode or conditions, and includes a safety line between the diver and the dive location.

APPENDIX 2A

KAUST LEAD SCIENTIFIC DIVER CLEARANCE PROCEDURE

1. Lead Diver Defined

1.1. Lead Diver is a certified Scientific Diver with experience and training to conduct the diving operation (per Appendix 1).

1.2. Lead Divers must be qualified, competent and experienced in the techniques being used during the planned diving operation and can lead single day dive operations, with an approved Dive Plan, within the Kingdom.

1.3. Per Section 1 of this manual:

i. For each dive, one individual shall be designated as the Lead Diver, who shall be at the dive location during the diving operation.

ii. The Lead diver shall be responsible for:

1. Ensuring dives are conducted in accordance with Section 2.0 of this manual.
2. Ensuring all dive team members possess current authorization and are qualified for the type of diving operation.
3. Coordination with other known activities in the vicinity that are likely to interfere with diving operations.
4. Ensuring safety and emergency equipment is in working order and at the dive site.
5. Suspending diving operations if in their opinion conditions are not safe.
6. Reporting to the DCB, through the DSO, any physical problems or adverse physiological effects including symptoms of pressure-related injuries.

2. Qualifications & Training

2.1. All Divers wishing to gain Lead Diver authorization status must apply to the DSO for appointment within the KAUST Scientific Diving Program.

2.2. Any applicant Diver may be asked to demonstrate their knowledge and skills.

2.3. Applicant Divers must be/provide the following:

i. Active Authorized Scientific Diver within KAUST, not of restricted, visiting or temporary status.

ii. Successful completion of internal Rescue Training established by the DCB.

iii. Documented 100 Dives (50 of which must be Scientific Dives, 25 of which within KAUST) under/using a variety of conditions and techniques.

iv. Documented Scientific Dives under at least 2 separate Scientific Diving Projects.

v. Completed AAUS Lead Diver Exam

vi. Completed training on the following topics:

1. Leadership & Followership Skills
2. Accident Management and Analysis
3. Dive Team Planning, Roles and Responsibilities
4. Pre & Post Dive Briefings
5. Managing a Dive Operation

vii. Completed Lead Diver Statement of Authorization

2.4. Although a specific Depth Authorization is not a prerequisite to authorization, note that dive operations are limited to the depth authorization and experience of the appointed Lead Diver.

2.5. At their discretion, the DSO/DCB reserves the authority to review, revoke or waive the requirements of any individual's application or status.

2.6. The ability to meet the above criteria does not guarantee Lead Diver status authorization.

2.7. Lead Divers are required to maintain currency and status by:

i. Maintaining Active Diver Status per Section 4, Paragraph 4.50 and Appendix 2D.

ii. Logging a total of 24 Scientific Dives in the previous 12 months.

iii. Being designated Lead Diver on at least 2 different dive plans in the last 12 months; at least one dive plan during each 6-month period.

2.8. In the case of status revocation or request denial, the DSO/DCB or Designee must inform the diver in writing of the reason(s) for denial or revocation. The diver will be given the opportunity to present their case in writing to the DCB for reconsideration. Following revocation, the diver may be reauthorized after complying with any conditions the DCB imposes.

3. DCB & DSO Authority

3.1. Any Applicant, Lead Diver, or Expedition Lead Diver may be asked to demonstrate their knowledge and skills.

3.2. At their discretion, the DCB and DSO reserve the authority to review any Lead Diver at any time.

4. KAUST Lead Diver Statement of Authorization

KAUST Lead Diver Statement of Authorization

جامعة الملك عبدالله للعلوم والتقنية
King Abdullah University of Science and Technology

I, Full Name., declare meeting the qualifications as defined in Appendix 2A of the KAUST Dive Safety Manual

I acknowledge responsibility for the following actions when I am serving in the Lead Diver role:

- ☐ Ensuring dives are conducted in accordance with Section 2.0 of the KAUST Dive Safety Manual
- ☐ Ensuring all dive team members possess current authorization and are qualified for the type of diving operation.
- ☐ Coordination with other known activities in the vicinity that are likely to interfere with diving operations.
- ☐ Ensuring safety and emergency equipment is in working order and at the dive site.
- ☐ Suspending diving operations if in their opinion conditions are not safe.
- ☐ Reporting to the DCB, through the DSO, any physical problems or adverse physiological effects including symptoms of pressure-related injuries.

Signature:

Date: Click or tap to enter a date.

APPENDIX 2B

KAUST SCIENTIFIC DIVER RECIPROCITY PROCEDURE

1. Qualifications & Training

1.1. All Divers must apply to the DSO and/or DCB for Authorization to dive within the KAUST Scientific Diving Program. Please refer to Appendix 2D of this manual.

1.2. Any Diver may be asked to demonstrate their knowledge and skills.

1.3. Per 1.10, Section 4 & Appendix 2C of this manual, All KAUST and Visiting Divers must be able to provide:

- *Documented proof of Diver Certification or equivalent from an internationally recognized training agency.*

AND

- *Proof of attendance to KAUST internal AAUS Scientific Diver Training per DSM Section 4.*

OR

- *Proof of applicable scientific/commercial diver qualification **and** scientific dive experience per paragraph 4.5, DSM Section 4.20 and Diver Clearance Guidance Document.*

AND

- *A Scientific Diver from another OM must deliver to the DSO a Letter of Reciprocity signed by the DSO or designee of their home DCB.*

OR

- *A Scientific Diver from an institution that is not an AAUS OM, but which has a Scientific Diving program as defined in Section 1.10, must provide their home institution's Diving Safety Manual or equivalent, and Verification of Training documentation from their DSO (or equivalent) demonstrating compliance with qualification standards substantially similar to AAUS.*

OR

- *A Visiting Diver not under the jurisdiction of an institutional diving safety program must apply for authorization as a Temporary Diver (Section 4.30).*

AND

- *Where applicable, completion of internal knowledge review or AAUS online exam (see Table 1 for clarity*

AND

- *A valid Medical; A Diver must be medically qualified for diving as described in Section 5.0 and Appendices 3-7.*
- *Valid First Aid training; to include CPR/AED and Oxygen Administration*
- *Valid DAN Insurance sufficient to cover scientific diving activities.*

1.4. The KAUST DCB may grant a waiver for specific requirements of training, examinations, depth authorizations, and minimum activity to maintain authorizations. AAUS medical standards may not be waived.

1.5. Table 1 below contains examples of Scientific Diving qualifications that the DCB may consider for KAUST and Visiting Divers. This table is not exhaustive, and mention within does not guarantee authorization.

1.6. Scientific Diving specialty courses provided by international recreational dive training agencies do not meet the required standards for diver reciprocity.

Authority Country of Origin	Name of Authority	Certification / Competency Name	Authorization Requirement	Knowledge Review Requirements
Scientific Certification				
United States of America	American Academy of Underwater Sciences (AAUS)	Scientific Diver	DSO Authorization (Preferred)	Not required. Included as part of LOR / VOT.
Canada	Canadian Association for Underwater Science (CAUS)	Scientific Diver I Scientific Diver II	DCB Authorization	Internal Knowledge Review Required.
-	European Scientific Diving Panel (ESDP)	European Scientific Diver (ESD) Advanced European Scientific Diver (AESD)	DCB Authorization	Internal Knowledge Review Required.
Germany	Kommision Forschungstauchen Deutschland (KFD)	Certified Research Diver (ESD)	DCB Authorization	Internal Knowledge Review Required.
Belgium	Belgian Science Policy Office (BELSPO)	Belgian Scientific Diving (ESD) Advanced Belgian Scientific Diving (AESD)	DCB Authorization	Internal Knowledge Review Required.
Finland	FSDSA	Occupational Scientific Diver (AESD)	DCB Authorization	Internal Knowledge Review Required.
Sweden	forsvarsmakten	DYKL S / S30 (ESD)	DCB Authorization	Internal Knowledge Review Required.
New Zealand	WorkSafe NZ (Science Diving New Zealand SDNZ)	CoC Restricted Occupational SCUBA Diver (Scientific Diver)	DCB Authorization	Internal Knowledge Review Required.
Commercial certifications				
United Kingdom	Health and Safety Executive (HSE)	HSE SCUBA	DCB Authorization	Completed Full AAUS e-learning and exam Required.
Norway	Arbeidstilsynet	Klasse A	DCB Authorization	Completed Full AAUS e-learning and exam Required.
France	INPP / CAP / ENS	CERTIFICAT D'APTITUDE A L'HYPERBARIE (CAH) CLASSE 1 MENTION B (30m) Classe 2 Mention B (50m)	DCB Authorization	Completed Full AAUS e-learning and exam Required.
Australia	Australian Diver Accreditation Scheme (ADAS)	Part 1 Restricted (30M) Part 1 (30M)	DCB Authorization	Completed Full AAUS e-learning and exam Required.
SOUTH AFRICA	Department of Employment and Labour	Class IV Commercial Diver - SCUBA (30m)	DCB Authorization	Completed Full AAUS e-learning and exam Required.

Table 1

APPENDIX 2C
AAUS REQUEST FOR DIVING RECIPROCITY FORM
VERIFICATION OF DIVER TRAINING AND EXPERIENCE

Diver: _____ Date: _____

This letter serves to verify that the above listed person has met the training and pre-requisites as indicated below, and has completed all requirements necessary to be certified as a *(Scientific Diver / Diver in Training)* as established by the *KAUST* Diving Safety Manual, and has demonstrated competency in the indicated areas. KAUST is an AAUS OM and meets or exceeds all AAUS training requirements.

The following is a brief summary of this diver's personnel file regarding dive status at

_____
(Date)

_____ Original diving authorization
_____ Written scientific diving examination
_____ Last diving medical examination Medical examination expiration date_____
_____ Most recent checkout dive
_____ Scuba regulator/equipment service/test
_____ CPR training (Agency) _____ CPR Exp. _____
_____ Oxygen administration (Agency) _____ 02 Exp. _____
_____ First aid for diving _____ F.A. Exp. _____
_____ Date of last dive _____ Depth
Number of dives completed within previous 12 months?_____ Depth Authorization_____ feet
Total number of career dives? _____

Any restrictions or Waivers of Requirements? (Y/N)_____ if yes, explain:

Please indicate any pertinent authorizations or training:

Emergency Information:

Name: Relationship:

Telephone: (work) (home)

Address:

This is to verify that the above information is complete and correct

Diving Safety Officer:

_____ _____
(Signature) (Date)

_____ _____
(Print)

APPENDIX 2D

KAUST SCIENTIFIC DIVER AUTHORIZATION PROCEDURE

1. **No Prior Experience (New Divers)**
   1.1. Prerequisites to become active scientific diver:
      i. Open Water Diver Qualification (or ISO Equivalent) or above
      ii. AAUS Scientific Diver Qualification
      iii. Diving Medical
      iv. Oxygen Administration
      v. First Aid / CPR / AED
      vi. DAN Insurance

2. **Non-Authorized Scientific Divers (Diver with Scientific Diving Qualifications/Experience but never previously Authorized within KAUST**
   2.1. Check Flowchart 1 for diver activation or re-activation.
   2.2. Prerequisites to become an active scientific diver:
      i. Open Water Diver Qualification (or ISO Equivalent) or above
      ii. Scientific Diver Qualification (AAUS/NON-AAUS), or Other Applicable Certification and Experience (See Reciprocity Policy)
      iii. Completed Knowledge Review (where applicable – See Appendix 2B)
      iv. Diving Medical
      v. Oxygen Administration
      vi. Frist Aid / CPR / AED
      vii. DAN Insurance
      viii. Check Out Dive
   2.3. Per section 4.2, individuals with Non-Scientific diver certifications (e.g. recreational or commercial diving certifications only) must be able to evidence sufficient scientific diving experience to be considered for authorization by the DCB. Individuals who do not meet this criterion may be required to undergo further testing and/or training as identified by the DCB or be denied authorization.

3. **Authorized Scientific Diver – Maintaining Active Status (AAUS & NON-AAUS)**
   3.1. Check Flowchart 2 for diver activation or re-activation workflow.
   3.2. Requisites to maintain active status:

i. Diving Medical
ii. Oxygen Administration
iii. First Aid / CPR / AED
iv. DAN Insurance
v. Logged 12 Scientific Dives in the past 12 months.

4. Authorized Scientific Diver – Re-Activation of Status (AAUS & NON-AAUS)

4.1. Check Flowchart 2 for diver activation or re-activation workflow.
4.2. Requisites to re-activate status
i. Diving Medical
ii. Oxygen Administration
iii. First Aid / CPR / AED
iv. DAN Insurance
v. Check Out Dive(s) *required if diver completed 0 dives in the past 6 months
vi. Restricted Status until 12 Scientific Dives have been completed **restricted diver is limited to 12msw depth authorization, cannot act as lead buddy or diver until 12 Scientific Dives are logged within a 12-month period (see section 1.7.1 ii)

5. Visiting Scientific Diver

5.1. A Visiting Scientific Diver is a person who will be a part of a scientific diving team and will perform scientific tasks during diving activities.
5.2. Check Flowcharts 1 and 2 for diver activation or re-activation workflow.
5.3. Table 2 contains the prerequisites to become an active visiting scientific diver:

Diver Origin	Minimum Recreational Diving Qualification	Scientific Diver Qual.	Letter of Reciprocity Form from home institution	Verification of Training and Dive Safety Manual from home institution	Dive Logs	Check Out Dive	Knowledge Review	Medical	Oxygen Admin, First Aid, CPR/AED	DAN Insurance (or Equivalent)	AAUS Status	KAUST Authority
AAUS Institution	Open Water / ISO Equivalent	AAUS	✓			✓*		✓	✓	✓	Visiting Scientific Diver	DSO
Non-AAUS Institution	Open Water / ISO Equivalent	See Appendix 2B		✓	✓	✓	✓	✓	✓	✓	Visiting Scientific Diver	DCB
No Scientific Credentials	Rescue Diver / ISO Equivalent	N/A			✓	✓	✓	✓	✓	✓	Temporary Authorization	DCB

Table 2

* at the DSO's discretion

6. Visiting Diver

6.1. A Visiting Diver is a person who dives but is not considered part of a scientific dive team and does not perform any scientific tasks during diving activities.

6.2. A Visiting Diver shall be assigned a dive buddy in accordance with this manual.

6.3. Table 3 contains the prerequisites to become an active visiting diver:

Minimum Recreational Diving Qualification	Dive Logs	Check Out Dive(s)	Medical	Oxygen Admin, First Aid, CPR/AED	DAN Insurance (or Equivalent)	Buddy Qualification Requirements	AAUS Status	KAUST Authority
Open Water/ ISO Equivalent	✓	✓	✓	✓	✓	- Active Scientific Diver -Lead Diver Authorization and/or Recreational Instructor Qualification	Temporary Authorization	DCB

Table 3

7. DCB & DSO Authority

7.1. Restricted Diver Status:

i. All authorized Divers (Scientific, Visiting & Temporary) engaged in diving activities MUST have valid Scientific Diving, Medical, Oxygen Administration/First Aid, and DAN Insurance certificates. Failure to meet this requirement results in NON-Active Restricted Diver Status, whereby the diver is not permitted to dive.

ii. Authorized Divers who have valid Scientific Diving, Medical, Oxygen Administration/First Aid, and DAN Insurance certificates, but have not met the required 12 Scientific Dives in the previous 12 months are deemed Active Restricted Dive Status, whereby the diver's depth authorization is restricted to 12msw until 12 Scientific Dives within 12 months is achieved and, per section 4.3:

A KAUST Diver on Restricted status is eligible to dive on projects under KAUST jurisdiction, provided the diver is under the supervision of a fully authorized KAUST Scientific Diver. Diving must only be conducted within the Restricted Diver's depth authorization.

A KAUST Diver on Restricted status is ineligible to:

- Serve as a Lead Diver or Lead Buddy on a dive under KAUST jurisdiction;
- Be referred to other institutions under reciprocity agreements;
- Dive on projects involving joint operations with other institutions.

7.2. Temporary Authorization

i. Only a diver not under the auspices of an AAUS OM may be granted a Temporary Diver Authorization. The individual in question must demonstrate proficiency in diving and can contribute measurably to a planned dive. A Temporary Diver Authorization constitutes a waiver of selected requirements of Section 4.0 and is valid only for a limited time, as approved by the DCB. A Temporary Diver Authorization must be restricted to the planned diving operation and must comply with all other policies, regulations, and standards of this Manual, including medical requirements. This authorization is not to be utilized as a repeated mechanism to circumvent existing standards set forth in this Manual (Section 4.2).

7.3. Other Cases

i. At their discretion, the DCB and DSO reserve the authority to review any individual's case.

ii. The DCB recognizes that in rare circumstances, the procedure outlined above may not be appropriate or applicable to specific individuals. In this instance, application for authorization should be submitted to the DCB for special consideration.

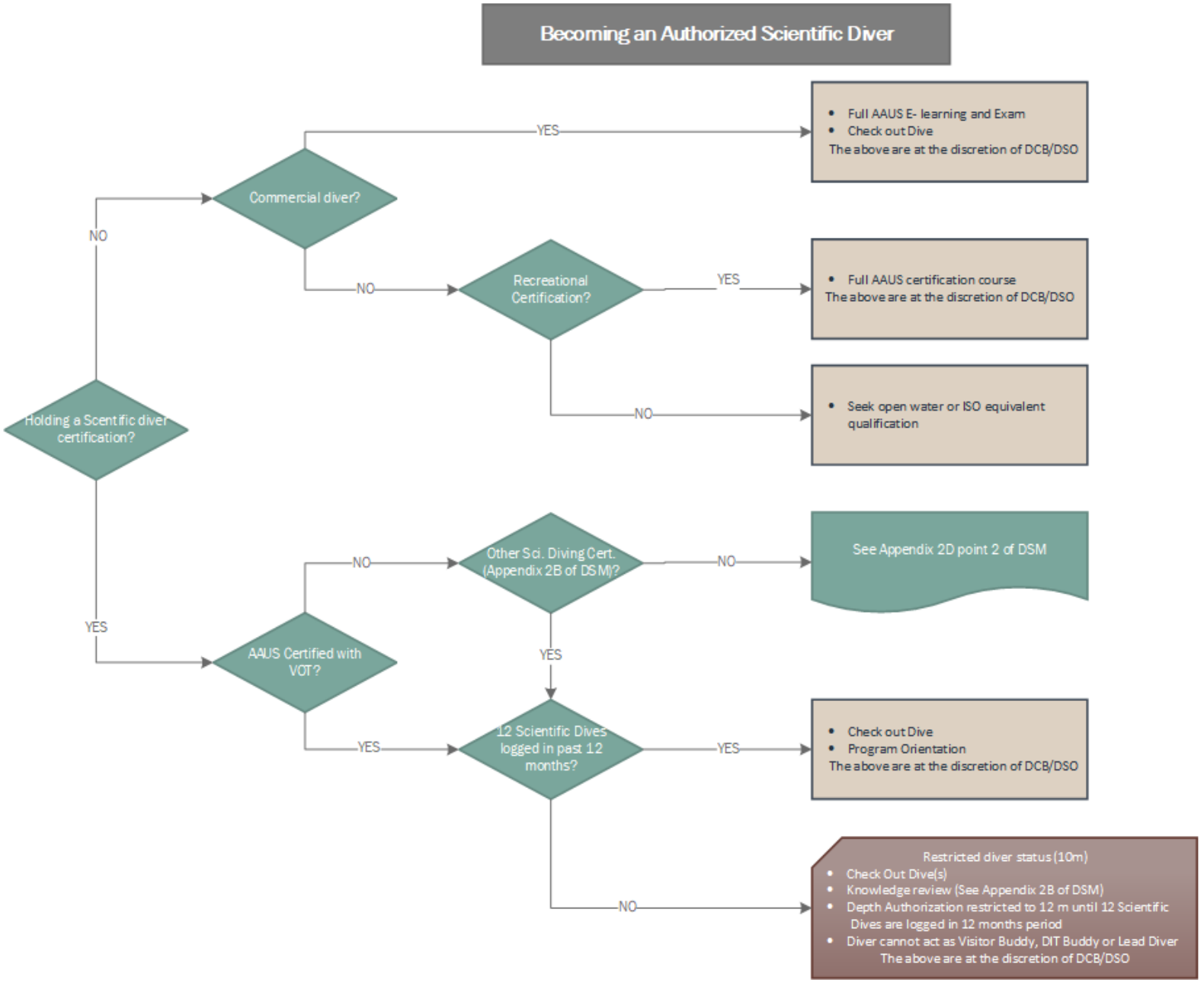


Figure 1 - Flowchart 1

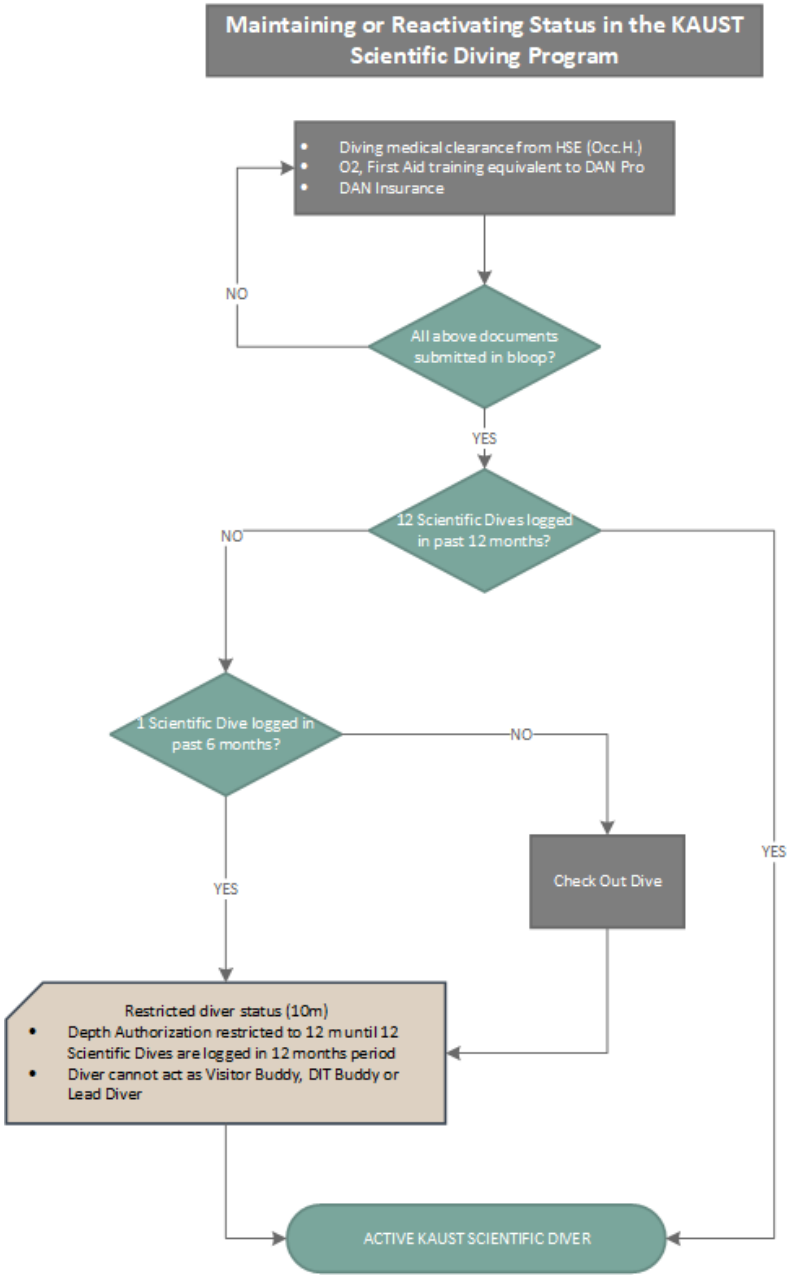


Figure 2 - Flowchart 2

APPENDIX 3
INFORMATION FOR EXAMINING CLINICIANS

Diving involves risk and certain medical conditions can make the risk of death and/or injury or illness while diving much higher. Scientific divers require periodic diving medical examinations to assess their fitness to engage in diving. Their answers on the **Diving Medical History Form** may indicate potential health or safety risks as noted. Diving is an activity that places unusual stress on the individual in several ways. Your evaluation is requested on this **Medical Evaluation Fitness for SCUBA Diving Form**. Your opinion on the applicant's medical fitness is requested. Scuba diving requires heavy exertion, hence the diver must be free of cardiovascular and respiratory disease (see references, following page). An absolute requirement is the ability of the lungs, middle ears and sinuses to equalize pressure. Any condition that risks the loss of consciousness should disqualify the applicant. Please proceed in accordance with the AAUS Medical Standards (Sec. 5.00). If you have questions about diving medicine, please consult with the Undersea Hyperbaric Medical Society or Divers Alert Network. If you have questions in regards to the medical findings of the applicant please contact the Occupational Health team at occupational.health@kaust.edu.sa.

The patient requires a medical examination to assess their fitness to dive at KAUST. He/she should have completed a medical history form and should present it to you for review at the time of the examination.

To assist you in making this evaluation, this packet includes:

1. Information regarding potential disqualifying conditions including appropriate references and a list of all of the required tests based on the age of the applicant (see below).
2. A "Diving Medical History" form (to be completed by the applicant)
3. A "Diving Medical Evaluation Fitness for SCUBA Diving Report" form (to be completed and signed by you)
4. An "Applicant's Release of Medical Information Form"

The basic physical examination must include the laboratory tests and other evaluations listed in the required clinical tests by age category chart. All test results (laboratory, x-ray and EKG), "Physical Examination" and "Diving Medical Evaluation" forms should be given to the diver. Any questions regarding the exam can be addressed to the Dive Safety Officer at DSO.dl@kaust.edu.sa or Occupational Health Specialist at occupational.health@kaust.edu.sa.

Required clinical tests by age category		
All Divers Under age 40 Initial & Periodic Re-Exam every 5 years	All Divers Over age 40 Initial Exam	All Divers Over age 40 Periodic Re-Exam every 3 years (every 2 years if over age 60)
• Medical History • Complete Physical Exam, emphasis on neurological and otological components • Urine Dip • Any further tests deemed necessary by the clinician	• Medical History • Complete Physical Exam, emphasis on neurological and otological components • Urine Dip • Resting EKG • Chest X-ray • Detailed assessment of coronary artery disease risk factors using Multiple-Risk-Factor Assessment (age, family history, lipid profile, blood pressure, diabetic screening, smoking history). Further cardiac screening may be indicated based on risk factor assessment • Any further tests deemed necessary by the clinician	• Medical History • Complete Physical Exam, emphasis on neurological and otological components • Urine Dip • Resting EKG • Detailed assessment of coronary artery disease risk factors using Multiple-Risk-Factor Assessment (age, family history, lipid profile, blood pressure, diabetic screening, smoking history). Further cardiac screening may be indicated based on risk factor assessment • Any further tests deemed necessary by the clinician

Conditions that may disqualify candidates from diving (Adapted from Bove, 1998: bracketed numbers are pages in Bove)	
1. Abnormalities of the tympanic membrane, such as perforation, presence of a monomeric membrane, or inability to autoinflate the middle ears. [5 ,7, 8, 9]	14. Hematologic disorders including coagulopathies. [41, 42]
2. Vertigo, including Meniere's Disease. [13]	15. Evidence of coronary artery disease or high risk for coronary artery disease. [33 - 35]
3. Stapedectomy or middle ear reconstructive surgery. [11]	16. Atrial septal defects. [39]
4. Recent ocular surgery. [15, 18, 19]	17. Significant valvular heart disease - isolated mitral valve prolapse is not disqualifying. [38]
5. Psychiatric disorders including claustrophobia, suicidal ideation, psychosis, anxiety states, untreated depression. [20 - 23]	18. Significant cardiac rhythm or conduction abnormalities. [36 - 37]
6. Substance abuse, including alcohol. [24 - 25]	19. Implanted cardiac pacemakers and cardiac defibrillators (ICD). [39, 40]
7. Episodic loss of consciousness. [1, 26, 27]	20. Inadequate exercise tolerance. [34]
8. History of seizure. [27, 28]	21. Severe hypertension. [35]
9. History of stroke or a fixed neurological deficit. [29, 30]	22. History of spontaneous or traumatic pneumothorax. [45]
10. Recurring neurologic disorders, including transient ischemic attacks. [29, 30]	23. Asthma. [42 - 44]
11. History of intracranial aneurysm, other vascular malformation or intracranial hemorrhage. [31]	24. Chronic pulmonary disease, including radiographic evidence of pulmonary blebs, bullae, or cysts. [45,46]
12. History of neurological decompression illness with residual deficit. [29, 30]	25. Diabetes mellitus. [46 - 47]
13. Head injury with sequelae. [26, 27]	26. Pregnancy. [56]

APPENDIX 4A
AAUS MEDICAL EVALUATION OF FITNESS FOR SCUBA DIVING REPORT

Applicant name:	**Date of exam:**
Assessing Physician name:	**Assessing Physician email:**
Clinic Name & Address:	**Clinic Phone:**

TO THE EXAMINING PHYSICIAN: This person is an applicant for training or is presently certified to engage in diving with self-contained underwater breathing apparatus (SCUBA). Scientific divers require periodic SCUBA diving medical examinations to assess their fitness to engage in diving with SCUBA. Your opinion on the applicant's medical fitness is requested. Their answers on the Diving Medical History Form may indicate potential health or safety risks as noted. SCUBA diving is an activity that puts unusual stress on the individual in several ways. SCUBA diving requires heavy exertion. The diver must be free of cardiovascular and respiratory disease (see references, following page). An absolute requirement is the ability of the lungs, middle ears and sinuses to equalize pressure. Any condition that risks the loss of consciousness should disqualify the applicant. If you have questions about diving medicine, please consult with the Undersea Hyperbaric Medical Society or Divers Alert Network. If you have questions in regards to the medical findings of the applicant please contact the Occupational Health team at occupational.health@kaust.edu.sa.

REQUIRED TESTS – Assessing physician MUST initial tests completed.
DURING ALL INITIAL AND PERIODIC RE-EXAMS (UNDER AGE 40)
____ Medical history
____ Complete physical exam, with emphasis on neurological and otological components
____ Urinalysis
____ Any further tests deemed necessary by the physician

ADDITIONAL TESTS DURING FIRST EXAM OVER AGE 40 AND PERIODIC RE-EXAMS (OVER AGE 40) – Assessing physician MUST initial tests completed.
____ Chest x-ray (Required only during first exam over age 40)
____ Resting EKG
____Assessment of coronary artery disease using Multiple-Risk-Factor Assessment1
(age, lipid profile, blood pressure, diabetic screening, smoking)
*Note: Exercise stress testing may be indicated based on Multiple-Risk-Factor Assessment2

PHYSICIAN'S STATEMENT:
I have evaluated the above-mentioned individual according to the tests listed above, in my opinion, find no medical conditions that may be disqualifying for participation in SCUBA diving. I have discussed with the patient any medical condition(s) that would not disqualify him/her from diving but which may seriously compromise subsequent health. The patient understands the nature of the hazards and the risks involved in diving with these conditions.

○	Diver is medically qualified to dive for 2 years (over age 60)
○	Diver is medically qualified to dive for 3 years (age 40-59)
○	Diver is medically qualified to dive for 5 years (under age 40)
○	Diver is not medically qualified to dive Temporarily, explain:
○	Diver is not medically qualified to dive Permanently

MD or DO signature:	**Date of exam:**

My familiarity with applicant is	○ This exam only	○ Regular physician for ____ years
My familiarity with diving medicine is:		

APPENDIX 4B
MEDICAL EVALUATION OF FITNESS FOR SCUBA DIVING REPORT

APPLICANT'S RELEASE OF MEDICAL INFORMATION FORM

Patient name:	**Date of exam:**
Assessing Physician:	
Clinic Name & Address:	**Clinic Phone:**

I authorize the release of this information and all medical information subsequently acquired in association with my diving to the Occupational Health Department, Diving Safety Officer, and Diving Control Board or their designee at (place) _____ on (date) _____ for purposes of determining my fitness to dive for KAUST. I authorized the Occupational Health Team and/or the assessing doctor to discuss my fitness to dive and medical findings of my assessment.

Patient Signature:	**Date:**

REFERENCES

[1] Grundy, S.M., Pasternak, R., Greenland, P., Smith, S., and Fuster, V. 1999. Assessment of Cardiovascular Risk by Use of Multiple-Risk-Factor Assessment Equations. AHA/ACC Scientific Statement. *Journal of the American College of Cardiology,* 34: 1348-1359. http://content.onlinejacc.org/cgi/content/short/34/4/1348

APPENDIX 5
DIVING MEDICAL HISTORY FORM

(To Be Completed By Applicant-Diver)

Patient name:	**Sex**	**Age**	**Weight**	**Height**
Assessing Physician:				
Clinic Name & Address:		**Clinic Phone:**		

TO THE APPLICANT:

Scuba diving places considerable physical and mental demands on the diver. Certain medical and physical requirements must be met before beginning a diving or training program. Your accurate answers to the questions are more important, in many instances, in determining your fitness to dive than what the physician may see, hear or feel as part of the diving medical certification procedure. The examining physician must keep this form confidential. If you believe any question amounts to invasion of your privacy, you may elect to omit an answer, provided that you must subsequently discuss that matter with your own physician who must then indicate, in writing, that you have done so and that no health hazard exists. Should your answers indicate a condition, which might make diving hazardous, you will be asked to review the matter with Occupational Health and additionally your physician. In such instances, their written authorization will be required in order for further consideration to be given to your application. If your physician concludes that diving would involve undue risk for you, remember that they are concerned only with your well-being and safety.

	Yes	**No**	**Please indicate whether or not the following apply to you**	**Comments**
1			Convulsions, seizures, or epilepsy	
2			Fainting spells or dizziness	
3			Been addicted to drugs	
4			Diabetes	
5			Motion sickness or sea/air sickness	
6			Claustrophobia	
7			Mental disorder or nervous breakdown	
8			Are you pregnant?	
9			Do you suffer from menstrual problems?	
10			Anxiety spells or hyperventilation	
11			Frequent sour stomachs, nervous stomachs or vomiting spells	
12			Had a major operation	
13			Presently being treated by a physician	
14			Taking any medication regularly (even non-prescription)	
15			Been rejected or restricted from sports	
16			Headaches (frequent and severe)	
17			Wear dental plates	
18			Wear glasses or contact lenses	
19			Bleeding disorders	
20			Alcoholism	
21			Any problems related to diving	
22			Nervous tension or emotional problems	
23			Take tranquilizers	

Patient name:	Sex	Age	Weight	Height
Assessing Physician:				
Clinic Name & Address:		Clinic Phone:		

	Yes	No	Please indicate whether or not the following apply to you	Comments
24			Perforated ear drums	
25			Hay fever	
26			Frequent sinus trouble, frequent drainage from the nose, post-nasal drip, or stuffy nose	
27			Frequent earaches	
28			Drainage from the ears	
29			Difficulty with your ears in airplanes or on mountains	
30			Ear surgery	
31			Ringing in your ears	
32			Frequent dizzy spells	
33			Hearing problems	
34			Trouble equalizing pressure in your ears	
35			Asthma	
36			Wheezing attacks	
37			Cough (chronic or recurrent)	
38			Frequently raise sputum	
39			Pleurisy	
40			Collapsed lung (pneumothorax)	
41			Lung cysts	
42			Pneumonia	
43			Tuberculosis	
44			Shortness of breath	
45			Lung problem or abnormality	
46			Spit blood	
47			Breathing difficulty after eating particular foods, after exposure to particular pollens or animals	
48			Are you subject to bronchitis	
49			Subcutaneous emphysema (air under the skin)	
50			Air embolism after diving	
51			Decompression sickness	
52			Rheumatic fever	
53			Scarlet fever	
54			Heart murmur	
55			Large heart	

Patient name:	Sex	Age	Weight	Height
Assessing Physician:				
Clinic Name & Address:		Clinic Phone:		

	Yes	No	Please indicate whether or not the following apply to you	Comments
56			High blood pressure	
57			Angina (heart pains or pressure in the chest)	
58			Heart attack	
59			Low blood pressure	
60			Recurrent or persistent swelling of the legs	
61			Pounding, rapid heartbeat or palpitations	
62			Easily fatigued or short of breath	
63			Abnormal EKG	
64			Joint problems, dislocations or arthritis	
65			Back trouble or back injuries	
66			Ruptured or slipped disk	
67			Limiting physical handicaps	
68			Muscle cramps	
69			Varicose veins	
70			Amputations	
71			Head injury causing unconsciousness	
72			Paralysis	
73			Have you ever had an adverse reaction to medication?	
74			Do you smoke?	
75			Have you ever had any other medical problems not listed? If so, please list or describe below;	
76			Is there a family history of high cholesterol?	
77			Is there a family history of heart disease or stroke?	
78			Is there a family history of diabetes?	
79			Is there a family history of asthma?	
80			Date of last tetanus shot? Vaccination dates?	

Patient name:	**Sex**	**Age**	**Weight**	**Height**
Assessing Physician:				
Clinic Name & Address:		**Clinic Phone:**		

Please explain any “yes” answers to the above questions.

Question number	Comment

I certify that the above answers and information represent an accurate and complete description of my medical history.

Patient Signature:	**Date:**

APPENDIX 6

KAUST SNORKELING MEDICAL DECLARATION AND EXPERIENCE

Snorkel diving can be a strenuous physical activity, and may increase the health and safety risk for persons suffering from some medical conditions.
Do you suffer from any of the following medical conditions?
Please answer yes or no to the following:

Heart disease	☐Yes ☐No
High or low blood pressure	☐Yes ☐No
Shortness of breath (especially when exercising)	☐Yes ☐No
Asthma	☐Yes ☐No
Emphysema	☐Yes ☐No
Chronic bronchitis or persistent chest complaint	☐Yes ☐No
Tuberculosis or other long-term lung disease	☐Yes ☐No
Fainting, seizures or blackouts	☐Yes ☐No
Epilepsy	☐Yes ☐No
Recent head injury or concussion	☐Yes ☐No
Diabetes (especially if needing medication)	☐Yes ☐No

If yes, comments if desired:

Snorkeling and SCUBA diving history:

How often have you been snorkeling before?	☐Never ☐1-5 times ☐6-20 times ☐20+ times
Do you have a SCUBA diving certification?	☐Yes ☐No
Have you previously had a dive medical?	☐Yes ☐No

If yes, on what date (please attach copy if possible): _____

FULL NAME (please print): _____

SIGNATURE: _____ DATE: _____

APPENDIX 7
RECOMMENDED PHYSICIANS WITH EXPERTISE IN DIVING MEDICINE IN KSA

A List of Medical Doctors that have training and expertise in diving or undersea medicine can be found through the Undersea and Hyperbaric Medical Society or Divers Alert Network. See links below
https://www.uhms.org/resources/diving-medical-examiners-list.html
https://www.diversalertnetwork.org/medical/physicians.asp

Name:	**Phone number:**
Dr. Ahmed Ali Mohamed Ali Abdeldayem	+966 920012777
Address:	**Hospital:**
Al-Hamra'a, Jeddah 23323, Saudi Arabia	Dr. Soliman Fakeeh Hospital To book an appointment: https://hconnect.fakeeh.care/

APPENDIX 8
EMERGENCY ACTION PLAN

Introduction

A diving accident victim could be any person who has been breathing compressed gas underwater regardless of depth. It is essential that emergency procedures are pre-planned and that medical treatment is initiated as soon as possible. It is the responsibility of each AAUS OM to develop procedures for diving emergencies including evacuation and medical treatment for each dive location.

General Procedures

Depending on and according to the nature of the diving accident:

1. Make appropriate contact with victim or rescue as required.
2. Establish (A)irway (B)reathing (C)irculation or (C)irculation (A)irway (B)reathing as appropriate
3. Stabilize the victim
3. Administer 100% oxygen, if appropriate (in cases of Decompression Illness, or Near Drowning).
4. Call local Emergency Medical System (EMS) for transport to nearest medical treatment facility. Explain the circumstances of the dive incident to the evacuation teams, medics and physicians. Do not assume that they understand why 100% oxygen may be required for the diving accident victim or that recompression treatment may be necessary.
5. Call appropriate Diving Accident Coordinator for contact with diving physician and recompression chamber, etc.
6. Notify DSO or designee according to the Emergency Action Plan of the OM.
7. Complete and submit Incident Report Form (www.aaus.org) to the DCB of the organization and the AAUS (Section 2.70 Required Incident Reporting).

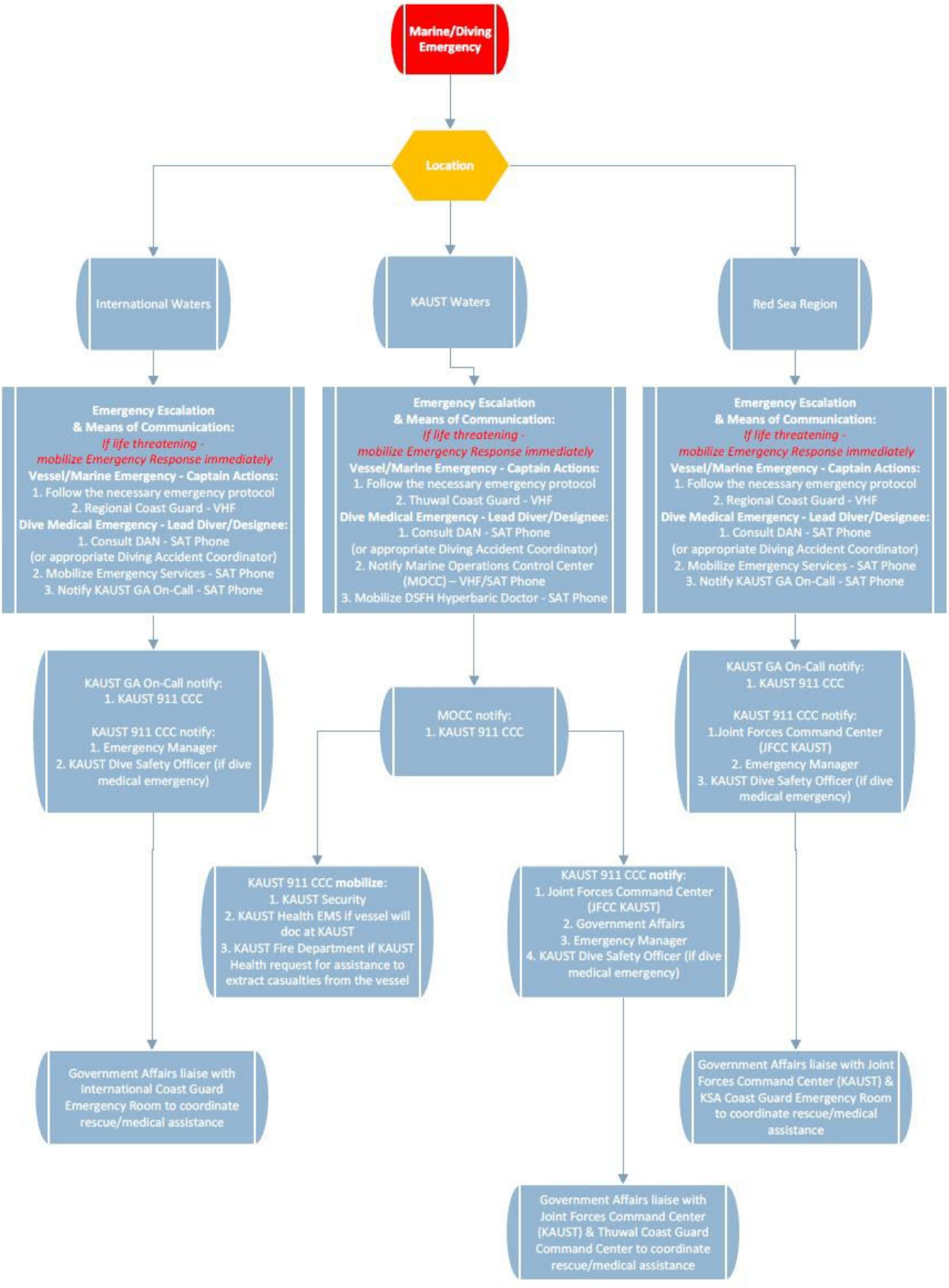
List of Emergency Contact Numbers Appropriate For Dive Location

Emergency Contacts		
Location/Region	**Contact**	**Contact Information**
KAUST Reefs	KAUST 911	+966 12 808 0911
	Coast Guard	VHF Channel 71
Within KSA outside KAUST	Government Affairs On-Call	+966 54 470 1111
Any Location	DAN Europe Emergency Line DAN America Emergency Line	+39 064-211-5685 +1 919-684-9111
Outside KSA requires developing EAPs and emergency contacts for each region of the dive plan.		

Local Hospital and Hyperbaric Chamber Information – Go to nearest Emergency Department first and call DAN		
Hospital	Dr. Soliman Fakeeh Hospital	9200 12777
Hyperbaric Chamber	Dr. Soliman Fakeeh Hospital Jeddah Chamber – Duty Manager	+966 59 325 8898
Hyperbaric Chamber	Dr. Soliman Fakeeh Hospital Neom NEOM Hospital ER	+966 55 683 4266 0144348911

Additional Dive Operations Contacts		
Dive Safety Officer	Augusto Montburn	+966 54 320 5201 +966 12 808 3551
Alternate Dive Safety Officer	Beatrice Rivoira	+966 54 914 9063 +966 12 808 5091

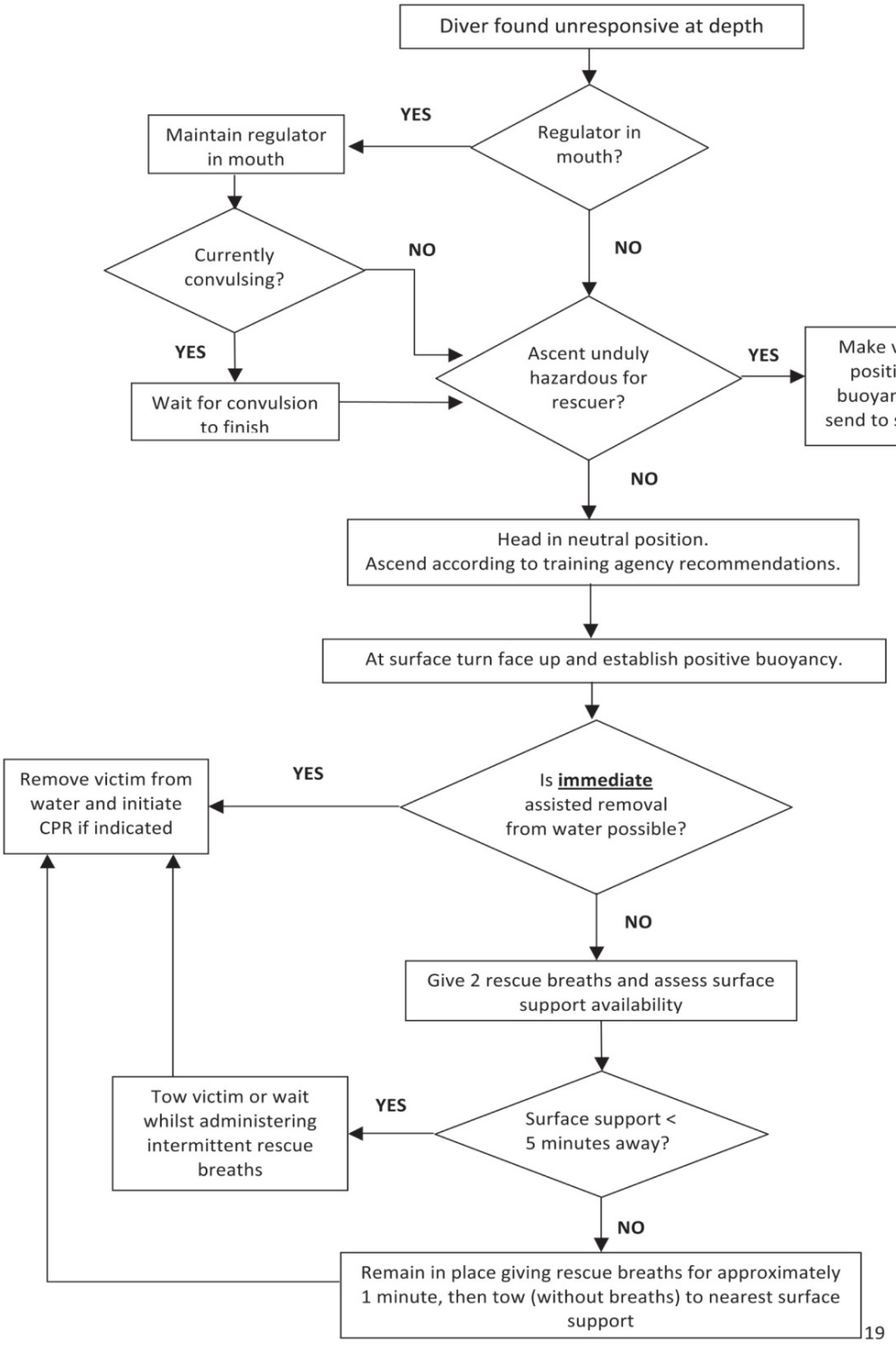
Marine/Diving Emergency Escalation Flow Chart



APPENDIX 9

RECOMMENDATIONS FOR RESCUE OF A SUBMERGED UNRESPONSIVE COMPRESSED-GAS DIVER

From: S.J. Mitchell et al., Undersea and Hyperbaric Medicine 2012, Vol. 39, No. 6, pages 1099-1108



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APPENDIX 10
AAUS STATISTICS COLLECTION CRITERIA AND DEFINITIONS

COLLECTION CRITERIA:

The "Dive Time in Minutes", The Number of Dives Logged", and the "Number of Divers Logging Dives" will be collected for the following categories.

- Dive Classification
- Breathing Gas
- Diving Mode
- Decompression Planning and Calculation Method
- Depth Ranges
- Specialized Environments
- Incident Types

Dive Time in Minutes is defined as the surface-to-surface time including any safety or required decompression stops.

A Dive is defined as a descent underwater utilizing compressed gas and subsequent ascent/return to the surface with a minimum surface interval of 10 minutes.

Dives will not be differentiated as open water or confined water dives. But open water and confined water dives will be logged and submitted for AAUS statistics classified as either scientific or training/proficiency.

A "Diver Logging a Dive" is defined as a person who is diving under the auspices of your scientific diving organization. Dives logged by divers from another AAUS Organization will be reported with the diver's home organization. Only a diver who has actually logged a dive during the reporting period is counted under this category.

Incident(s) that occur during the collection cycle: Only incidents that occurred during, or resulting from, a dive where the diver is breathing a compressed gas will be submitted to AAUS.

DEFINITIONS:

Dive Classification:

- Scientific Dives: Dives that meet the scientific diving exemption as defined in 29 CFR 1910.402. Diving tasks traditionally associated with a specific scientific discipline are considered a scientific dive. Construction and trouble-shooting tasks traditionally associated with commercial diving are not considered a scientific dive.
- Training and Proficiency Dives: Dives performed as part of a scientific diver-training program, or dives performed in maintenance of a scientific diving certification/authorization.

Breathing Gas:

- Air: Dives where the bottom gas used for the dive is air.
- Nitrox: Dives where the bottom gas used for the dive is a combination of nitrogen and oxygen percentages different from those of air.

- Mixed Gas: Dives where the bottom gas used for the dive is a combination of oxygen, nitrogen, and helium (or other inert gas), or any other breathing gas combination not classified as air or nitrox.

Diving Mode:

- Open Circuit SCUBA: Dives where the breathing gas is inhaled from a self-contained underwater breathing apparatus and all of the exhaled gas leaves the breathing loop.
- Surface Supplied: Dives where the breathing gas is supplied from the surface by means of a pressurized umbilical hose. The umbilical generally consists of a gas supply hose, strength member, pneumofathometer hose, and communication line. The umbilical supplies a helmet or full-face mask. The diver may rely on the tender at the surface to monitor the divers' depth, time and diving profile.
- Hookah: While similar to Surface Supplied in that the breathing gas is supplied from the surface by means of a pressurized hose, the supply hose does not require a strength member, pneumofathometer hose, or communication line. Hookah equipment may be as simple as a long hose attached to a standard scuba cylinder supplying a standard scuba second stage. The diver is responsible for monitoring his/her own depth, time, and diving profile.
- Rebreathers: Dives where the breathing gas is repeatedly recycled in a breathing loop. The breathing loop may be fully closed or semi-closed. Note: A rebreather dive ending in an open circuit bailout is still logged as a rebreather dive.

Decompression Planning and Calculation Method:

- Dive Tables
- Dive Computer
- PC Based Decompression Software

Depth Ranges:

Depth ranges for sorting logged dives are: 0-30, 31-60, 61-100, 101-130, 131-150, 151-190, 191-250, 251-300, and 301->. Depths are in feet seawater (when measured in meters: 0-10, >10-30, >30-40, >40-45, >45-58, >58-76, >76-92, and >92->). A dive is logged to the maximum depth reached during the dive. Note: Only "The Number of Dives Logged" and "The Number of Divers Logging Dives" will be collected for this category.

Specialized Environments:

- Required Decompression: Any dive where the diver exceeds the no-decompression limit of the decompression planning method being employed.
- Overhead Environments: Any dive where the diver does not have direct access to the surface due to a physical obstruction.
- Blue Water Diving: Openwater diving where the bottom is generally greater than 200 feet deep and requires the use of multiple-tethers diving techniques.
- Ice and Polar Diving: Any dive conducted under ice or in polar conditions. Note: An Ice Dive would also be classified as an Overhead Environment dive.
- Saturation Diving: Excursion dives conducted as part of a saturation mission are to be logged by "classification", "mode", "gas", etc. The "surface" for these excursions is defined as leaving and surfacing within the Habitat. Time spent within the Habitat or chamber must not be logged by AAUS.

- Aquarium: An aquarium is a shallow, confined body of water, which is operated by or under the control of an institution and is used for the purposes of specimen exhibit, education, husbandry, or research (Not a swimming pool).

Incident Types:

- Hyperbaric: Decompression Sickness, AGE, or other barotrauma requiring recompression therapy.
- Barotrauma: Barotrauma requiring medical attention from a physician or medical facility, but not requiring recompression therapy.
- Injury: Any non-barotrauma injury occurring during a dive that requires medical attention from a physician or medical facility.
- Illness: Any illness requiring medical attention that can be attributed to diving.
- Near Drowning/ Hypoxia: An incident where a person asphyxiates to the minimum point of unconsciousness during a dive involving a compressed gas. But the person recovers.
- Hyperoxic/Oxygen Toxicity: An incident that can be attributed to the diver being exposed to too high a partial pressure of oxygen.
- Hypercapnea: An incident that can be attributed to the diver being exposed to an excess of carbon dioxide.
- Fatality: Any death accruing during a dive or resulting from the diving exposure.
- Other: An incident that does not fit one of the listed incident types

Incident Classification Rating Scale:

- Minor: Injuries that the OM considers being minor in nature. Examples of this classification of incident would include, but not be limited to:
    - Mask squeeze that produced discoloration of the eyes.
    - Lacerations requiring medical attention but not involving moderate or severe bleeding.
    - Other injuries that would not be expected to produce long term adverse effects on the diver's health or diving status.
- Moderate: Injuries that the OM considers being moderate in nature. Examples of this classification would include, but not be limited to:
    - DCS symptoms that resolved with the administration of oxygen, hyperbaric treatment given as a precaution.
    - DCS symptoms resolved with the first hyperbaric treatment.
    - Broken bones.
    - Torn ligaments or cartilage.
    - Concussion.
    - Ear barotrauma requiring surgical repair.
- Serious: Injuries that the OM considers being serious in nature. Examples of this classification would include, but not be limited to:
    - Arterial Gas Embolism.
    - DCS symptoms requiring multiple hyperbaric treatment.
    - Near drowning.
    - Oxygen Toxicity.
    - Hypercapnea.
    - Spinal injuries.
    - Heart attack.
    - Fatality.

APPENDIX 11
KAUST DIVE LOG TEMPLATE

Date:		**Location:**		**Dive Vessel:**		**Site Type:**					
Lead Diver		**Alternate:**		**Weather:**		**Sea State:**					
Divers:											
Equipment Checked | Name & Sign:											
First Aid & Oxygen Kit Checked | Name & Sign:											
Risk Assessment & Brief Seen by All | Name & Sign:											
Diver	Code In	Surface Interval	Cyl #	Cylinder Size (L)	Breathing Gas (%)	Pressure In (BAR)	Left Surface Time	Arrived Surface Time	Pressure Out (BAR)	Max Depth (m)	Dive Time (mins)	Code Out
Continuation Sheet Used?		**Page of**		**Record Complete | Lead Diver Underscore Final Entry & Sign:**								

Date:											
Diver	Code In	Surface Interval	Cyl #	Cylinder Size (L)	Breathing Gas (%)	Pressure In (BAR)	Left Surface Time	Arrived Surface Time	Pressure Out (BAR)	Max Depth (m)	Dive Time (mins)
Continuation Sheet		**Page ____of ____**					**Record Complete | Lead Diver Underscore Final Entry & Sign:**				